### 3 Valency-changing derivation in Central Alaskan Yup'ik

MARIANNE MITHUN

Central Alaskan Yup'ik is a language of the Eskimo-Aleut family, spoken in southwestern Alaska by over 10,000 people. Like other languages in the family, Yup'ik has much to contribute to the study of valency-changing derivation, particularly because of its explicit specification of grammatical relations and its wealth of valency-changing devices. The roles of participants in events and states are distinguished both by case suffixes on nouns and by pronominal suffixes on verbs. The language is highly polysynthetic, with hundreds of derivational suffixes, many of which affect argument structure. The rich inventory of valency-changing devices provides a fruitful basis for crosslinguistic comparison, showing us ways in which such devices can vary in their semantic, syntactic and discourse effects.

The basic grammatical structures of the Eskimoan languages are well understood, thanks to pioneering work on Greenlandic by Egede (1750, 1760), Kleinschmidt (1851), and many others working with Eskimo-Aleut languages since that time. Fine descriptions of Yup'ik are now available, especially Woodbury (1981), Jacobson (1984, 1995), Miyaoka (1984, 1987, 1996 and 1997) and Reed, Miyaoka, Jacobson, Afcan and Krauss (1977). These works have proven invaluable in the investigation of the structures discussed here. Additional studies are in Mithun (1996). Material cited in the present work comes primarily from conversations among members of the Charles family and their friends of Bethel, Alaska, especially Nick Charles (NC), Elena Charles (EC), George Charles (GC) and Elizabeth Charles Ali (EA).

#### 1 Basic morphological structure

Yup'ik words are classified as either uninflected (particles) or inflected (nouns and verbs). Inflected words have a straightforward internal structure: an

initial root (traditionally termed a base by Eskimologists), any number of derivational suffixes (termed postbases) and a final inflectional suffix complex (termed an ending).

root	(derivational suffixes)	inflectional suffixes
1000	` '	11
base	(postbases)	ending

nouns and verbs: internal structure

On nouns, the inflectional ending encodes number, case, and identification of the possessor if there is one. Singular, dual and plural number are distinguished; 1st, 2nd, 3rd and coreferential person for possessors; and seven cases: absolutive (unmarked), ergative (which also serves as the genitive), ablative ('from, about'), allative ('to, towards'), locative ('in, at'), vialis ('through' and instrumental 'with') and aequalis ('like').

(1)	Some sample nouns'				
	qayaq	qayak	qayarmi	qayacetun	
	qayar	qayar-k	qayar-mi	qayar-cetun	
	kayak	kayak-du	kayak-Loc	kayak-pl.vialis	
	kayak	two kayaks	in a kayak	with kayaks	

Nouns may be derivationally complex.

(2) Derived noun kipusviliurtet kipute-vik-liur-ta-t

buy-Locative.nominalizer-be.occupied.with-agentive.nominalizer-pl storekeepers (lit. those who take care of the store)

Possession is shown by a transitive pronominal suffix referring to the possessor and the possessed.

Work with speakers of Central Alaskan Yup'ik has been made possible by grants from the Academic Senate, University of California, Santa Barbara. I am especially grateful to Elizabeth Ali and George Charles for their help in transcribing and translating the conversations on which this work was based, and for their discussion of the material. I have also appreciated general discussions about the language with Nancy Caplow, Gary Holton, Jim Reed, Ivo Sanchez, Kathy Sands and Robin Shoaps.

(3) Possessive suffixes qayaqa qayar-ka kayak-1sg/3sg my kayak iqvallerminek iqvar-ller-minek pick.berries-past, nominalizer-r/3pl.ablative from her picked berries (lit. [she made it] from the berries she had picked)

On verbs, the inflectional ending contains a mood marker and pronominal suffix. The moods include an indicative, interrogative, optative, participial, subordinative and a set of connectives that link subordinate clauses: past contemporative ('when' in the past), contemporative ('while'), precessive ('before'), concessive ('whenever'), contingent ('whenever'), consequential ('because') and a conditional ('if, when in the future'). For each mood there is a pronominal suffix paradigm that specifies the core arguments of the clause, one for intransitives, two for transitives. Four persons are distinguished (1st, 2nd, 3rd, and co-referential or extended reflexive) and three numbers (singular, dual and plural). There is no gender distinction. The pronominal suffixes are now fused complexes, but within the pronominal paradigms for some moods (indicative, participial), traces of an absolutive category can be perceived, while within the paradigms for others (subordinative, the connectives), traces of a subject category can be discerned. Examples of verb morphology can be seen in (4).

- (4) Sample verbs: GC, EA, EC
- agumetullruug (a) agume-tu-llru-u-q sit-customarily-PAST-INDICATIVE.INTRANSITIVE-3sg He would sit
- tangerrsuumiitamken (b) tangerr-yuumiite-a-mken see-not.want-INDICATIVE.TRANSITIVE-1sg/2sg I do not want to see you
- aguumarlinrilkumeng-llu (c) aguumar-li-nrite-ku-meng=llu basket-make-not-CONDITIONAL-R.pl=and and if they themselves did not make baskets

#### 2 Stem types

The transitivity of every verb is clear from its pronominal suffixes. Verb stems fall into three transitivity classes: intransitive only (inflectable only as intransitives), transitive only (inflectable only as transitives) and ambitransitive (inflectable either way).

Intransitive only (5) amllertug amller-tu-g be.much-indicative.intransitive-3sg It is a lot

> amirlu-'be cloudy' malri-'give birth to twins' ayarr'ar-'tell a string story' naku-'be cross-eyed' elrir-'hold a feast' 'yelp' uarkaig-'be hungry' uite-'open one's eyes, bloom' 'drink coffee' kuvviargakete-'resubmerge after coming mallu-'find a beached carcass' to the surface (fish, seal)' 'go seal-hunting with small panger-'paddle with a doubleqamigarbladed paddle' sled and kayak in spring'

Transitive only (6) eguaquraa eguaqur-a-a persuade-INDICATIVE.TRANSITIVE-3sg/3sg She persuaded him

allurte-	'take something from'	kelucar-	'lock'
egte-	'throw (usually away)'	nalug-	'hoist'
equg-	'carry on shoulder'	takar-	'be intimidated by'
kamak-	'suspect someone'	ullag-	'approach'
naive-	'pour from one	paqrite-	'discover missing
	container to another'		(implying taken
qacarte-	'hit or slap with the hand,		without permission)'
	blow against (of wind)'	qamite-	'give something to take
tapir-	'give something along		along on a journey to'
	with'	uskurar-	'harness'

(7)Ambitransitive: ige- 'to swallow'

ig'uq iga<u>a</u> ige-u-q ige-a-a

swallow-indic.intransitive-3sg swallow-indic.transitive-3sg/3sg

She swallowed it

She swallowed

The ambitransitives fall into two subclasses, termed 'agentive' and 'patientive' ambivalents or ambitransitives. With agentive ambitransitives, the single argument of the intransitive is agentive; it corresponds to the ergative argument of the transitive.

(8)Agentive ambitransitives: akqe- 'promise' akqua akgaga akge-u-a akge-ar-ka promise-INDIC.INTRANSITIVE-1sg promise-INDIC.TRANSITIVE-1sg/3sg I promised I promised him 'step over (something)' 'get up; set (something) amllirmakete-'agree (with)' upright' anger-'fight (with)' 'ooze (on)' callugnagecallur-'be too big (for)' pai-'stay behind; babysit for' kaaleg-'rummage (through)' gakvar-'win; beat' kanar-'emerge from woods kagi-'sweep (something)' 'disembark; remove (net. down to body of water; yuusnare), take off (clothing)' emerge at'

With patientive ambitransitives, the single argument of the intransitive corresponds to the absolutive of the transitive. It thus tends to be a semantic patient.

(9)Patientive ambitransitives: cagte- 'scatter, spread out, be in disarray' cagtuq cagtaqa cagte-ar-ka cagte-u-q scatter-Indic.intransitive-3sg scatter-INDIC.TRANSITIVE-1sg/3sg it is spread out, in disarray I am spreading it out akag-'roll (something)' mecir-'be soaked; soak' akngirte-'get hurt; hurt' nalke-'be found; find' alleg-'get torn; tear (something)' tallegte-'get scratched; scratch' 'come out; extract' 'be lost; lose, misplace' amutamar-'burn (something)' 'not get; not give eguapalarte-'get stabbed; stab, poke, kapeenough' innoculate' 'be loose, wrinkled; gacu-'get squashed; smash flat' passigather (cloth), wrinkle'

There are never more than two core arguments in a verb. When a beneficiary is involved in an event, it is often coded as the absolutive, as in *cikir*- 'give' and *payugte*- 'bring food to':

(10) cikir- 'give': EA

wani-wa, carrakuinegmek cikirciqa<u>mken</u>

wani=wa ca-rraq-kuineg-mek cikir-ciqe-a-<u>mken</u>

here=EMPH thing-little.bit-small.amount-ABL give-FUT-INDIC.TR-<u>1sg/2sg</u>

All right, <u>I</u> (ERGATIVE) will give <u>you</u> (ABSOLUTIVE) a little bit (ABLATIVE)

(11) payugte- 'bring food to': EA
taukunek payugesk<u>ii</u>, apa'urluni
tau-kunek payugte-ke-<u>ii</u> apa-urluq-ni
that-pl.ABL bring.food-PART.TR-<u>3sg/3sg grandpa-dear-3sg/3sg</u>
She (ERGATIVE) brought those things to her dear grandfather (ABSOLUTIVE)

The semantic patient appears as an ablative (oblique), as can be seen in both (10) and (11). Other verbs with beneficiaries as absolutives include aruqe- 'to distribute gifts or shares of a catch' (aruqai 'he is distributing (things) to them'), qamite- 'to give something to take along on a journey' (qamitaa 'he gave her something to take along') and apete- 'to inquire, ask a question' (aptaa 'he asked her'). But beneficiaries need not always be coded as core arguments. The language offers extensive lexical and derivational alternatives. Other verbs cast the patient (object given/shown) as the absolutive, such as mani- 'show, display, put out on view, put on the stove', (mania 'she put it out, put it on the stove'), nasvag- 'show, display' (nasvagaa 'she is showing it'), and apertur- 'show, point out' (aperturaa 'she pointed it out'), in contrast with the derived apertuute- 'show, point out to' (apertuutaa 'she pointed something out to him').

#### 3 Valency-reducing derivation

Within its derivational morphology, Yup'ik contains several devices for reducing valency. Some permit the omission of the agent from the set of core arguments, a typical function of passives. Others permit the omission of patients, a typical function of antipassives.

#### 3.1 Agent omission

As seen in the previous section, Yup'ik contains a simple device for eliminating the agent from the set of core arguments. The verbs called patientive ambitransitives, such as *katag*- 'drop', may be inflected as intransitives. The single argument of the intransitive is the semantic patient: 'fall'. A comparison of the transitive and intransitive forms of 'drop/fall' can be seen in (12) and (13).

- (12) Transitive inflection of katag- 'drop': EA
  iggluni taukut-llu atsat, katagluki- tamalkuita
  igte-lu-ni tau-kut=llu atsar-t katag-lu-ki tamalkur-ita
  fall-sub-3sg that-pl=and fruit-pl fall.off-sub-k/3pl all-3pl
  He fell (off of his bicycle) and lost all of the fruit
- (13) Intransitive inflection of katag- 'fall': EA katalliniug katag-llini-u-q fall.out-apparently-<u>INDICATIVE.INTRANSITIVE</u>-3pl They must have fallen out

Of course omission of the agent by inflection alone can be accomplished only with patientive ambitransitives. Yup'ik also contains derivational devices that can exclude agents from the core. Two suffixes in particular, -cir- and -ma-, appear to be passive in function.

(14) Passive-like suffixes

-cir- tegleg- 'to steal'
teglegaa 'he stole it' TRANSITIVE
teglegcirtuq 'it got stolen' INTRANSITIVE

-ma- ini- 'to hang out to dry'

inia 'she hung it' TRANSITIVE inimauq 'it's been hung' INTRANSITIVE

The suffix -cir- (with phonologically conditioned alternants -sciur-, -scir- and -ciur-) can be seen in (15). As a boat was being loaded in a rush, it tipped. Water in the bottom got onto the bedding and soaked it. A comparison of this verb with the basic form mecunguq 'it is wet', shows that the -cir-form does imply that the state of wetness resulted from an earlier event:

(15) Suffix -cir-: EA

mecungcirtuq cf. mecunguq mecunge-cir-tu-q mecunge-u-q be.soaked-<u>ADVERSATIVE</u>- be.soaked-

INDICATIVE.INTRANSITIVE-3sg INDICATIVE.INTRANSITIVE-3sg

A survey of the uses of -cir- indicates that it consistently carries an adversative meaning. As noted by Shibatani (1996) and others, this is a common though by no means necessary feature of many passives. In fact, it is the valency-changing effect of the suffix seen in (15) that is not a necessary element of its function. The example in (16) was used when someone had allowed the fish to become damp. Both the original verb base and the derived verb are intransitive, with the semantic patient as absolutive. There was thus no change in argument structure.

(16) Adversative -cir-: EA

neqerrluk yuku<u>cir</u>tuq
neqe-rrluk yuku-<u>cir</u>-tu-q
fish-departed.from.natural.state be.mouldy-<u>get</u>-INDICATIVE.INTRANSITIVE-3sg
That beautiful piece of dry fish (ABSOLUTIVE) <u>got</u> mouldy

If an agent is mentioned in such constructions, it is expressed in the allative (oblique) case. (Jacobson 1995 notes that in some areas, the ablative is used for this purpose.)

(17) Oblique agent: EA (elicited)

nere-sciurtuq qimugtemun nere-sciur-tu-q qimugte-mun eat-get-INDICATIVE.INTRANSITIVE-3sg dog-ALLATIVE It (ABSOLUTIVE) got eaten by a dog (ALLATIVE)

The second suffix with passive-like meaning is -ma- (with phonologically conditioned alternants -uma-, -ima- and -nga-). It may be attached to verbs of all transitivity types. On transitive-only stems, it derives an intransitive with just a patient argument, as might be expected of a passive.

(18) -ma- on transitive-only stem

meleg- 'to close'
melgaa 'he closed <u>it</u>'
melgumauq '<u>it</u> is closed'

The suffix -ma- may also be attached to ambitransitive stems. Here the pattern is slightly more unexpected. On agentive ambitransitives, the derived intransitive may have either an agent argument, as on the left below in (19), or a patient argument, as on the right.

(19) -ma- on agentive ambitransitive stem

nere- 'to eat'

ner'uq 'he ate' neraa 'he ate it'

ner<u>uma</u>uq '<u>he</u> has eaten' ner<u>uma</u>uq '<u>it</u> has been eaten'

On patientive ambitransitives, the derived intransitive always has a patient argument.

(20) -ma- on patientive ambitransitive stem

kitugte- 'to repair'

kitugtuq '<u>it</u> is fixed' kitugtaa 'he fixed <u>it</u>' kitugngauq '<u>it</u> is fixed' kitugngauq '<u>it</u> is fixed'

The suffix -ma- may also be attached to intransitive-only stems. The argument of the derived intransitive is the same as that of the base stem, semantic agent or patient.

(21) -ma- on intransitive-only stems

ayag- 'to leave, go' tuqu- 'to die' ayagtuq 'he left' tuquuq 'it died' aya<u>uma</u>uq 'he has left, is gone' tuq<u>uma</u>uq 'it is dead'

The pattern is not actually as complex as it first appears. In all derivations, the absolutive argument of the base is the absolutive of the derived intransitive. But what of the agentive ambitransitives, such as 'eat', for which the

eaten').

derived intransitive may be either the eater or the food eaten? As Jacobson points out (1995), the two possibilities result from the ambitransitive status of these stems. They have both agentive intransitive forms (ner'uq 'he ate') and transitive forms (neraa 'he ate it'). If the base of the derivation is assumed to be the intransitive form, the pattern matches that of 'leave', and the absolutive ('he') of the base remains the absolutive of the derived intransitive ('he ate'  $\rightarrow$  'he has eaten'). If the base of the derivation is assumed to be the transitive form, the pattern matches that of 'close', and again the original absolutive is

retained as the absolutive of the derived intransitive ('he ate  $\underline{it}$ '  $\rightarrow$  ' $\underline{it}$  has been

There has been considerable discussion in the literature on the primary function of passives cross-linguistically, whether it is best viewed as the demotion of an agent, the promotion of a patient, or a focus on the state resulting from an action. An examination of the usage of Yup'ik -ma- shows that it has only this third function: it focusses on the lasting resultant state of the most significantly involved participant, the absolutive. It may or may not alter argument structure. It is thus a resultative, in the sense of Nedjalkov (1988). Like the adversative -cir-, it denotes the result of an action, rather than an inherent condition. The verb in (22) for example, built on the base essagte- 'scatter', was appropriate for beads spilt by someone knocking over a jar, but it would not be used for rocks found here and there on a hillside.

(22) Resultant state: EA

essagte- 'to scatter'

essagtuq 'they are scattered'

essagcimaut 'they (beads) are scattered'

In fact, the only semantic contribution of the suffix -ma- may be an emphasis on the enduring time of the resultant state. Mrs Ali recounted that, while waiting at the airport, she became so engrossed in her reading that she failed to hear the announcement of her flight. She exclaimed:

(23) Length of state: EA

nanikuayartua uita<u>uma</u>lua nanikuqa-yar-tu-a uita-<u>uma</u>-lu-a

be.distressed-would-indicative.intransitive-1sg stay-stative-sub-1sg

misvigmi

mit'e-vig-mi

alight-place-LOC

I would have been distressed at having to stay a long time at the airport

As she viewed masks that had been collected by early Moravian missionaries and sent to Europe, she mused:

(24) Length of state: EA
cataicimalliniut kegginaguat
cataite-ima-llini-u-t kegginagur-et
be.absent-stative-apparently-indicative.intransitive-3pl mask-pl
The masks were apparently out there

avani nunani yaaqvani ava-ni nuna-ni yaaqva-ni over.there-LOC land-LOC area.far.away-LOC in some indefinite place <u>for a long, long time</u>

As can be seen, though both -cir- and -ma- might seem at first to function as passives, in many cases converting a transitive stem into an intransitive with just a patient, neither suffix serves the full range of functions expected of prototypical passives. Both imply an event leading up to the resultant state predicated, but neither necessarily produces a change in argument structure. For this reason, neither is heavily utilized for syntactic or discourse functions. The primary function of the suffix -cir- is to focus on the adversity of a resultant state, and that of the suffix -ma- is to focus on its lasting effect. The fact that their specific functions are distinct is confirmed by their ability to co-occur within a single verb. The sentence in (25) was uttered by a speaker who had been away travelling for some time. She returned home to find that all of her dance wands (drumsticks) had been broken. The suffix -cir- emphasizes the adversity, and the suffix -ma- emphasizes the time that had elapsed since the breaking.

(25) Combination of adversative and lasting effect: EA ayem<u>cirtuma</u>ut ayem-<u>cir</u>-te-<u>uma</u>-u-t snap-<u>get</u>-CAUSATIVE-<u>STATIVE</u>-INDICATIVE.INTRANSITIVE-3pl They're all broken!

It should be noted that the various Eskimo-Aleut languages apparently show different patterns in their uses of passive morphology (Tony Woodbury, p.c.; Fortescue 1984: 265–8 on Greenlandic; and others). Comparison of grammars of the modern languages suggests that they differ both in the forms of the derivational suffixes and in their functions.

#### 3.2 Patient omission

Yup'ik also contains several devices for eliminating semantic patients from the set of core arguments of the clause, a function often termed 'antipassive'. A reduction in valency may be accomplished by inflection alone. Agentive ambitransitive verbs like *kitur*- 'to pass (someone/something)' may simply be inflected intransitively, with no mention of the person or object passed.

#### Marianne Mithun

Intransitive inflection of agentive ambitransitive (26)

> kitur-'to pass'

'he passed him/her/it' kituraa TRANSITIVE 'he passed by' INTRANSITIVE kiturtug

Examples of the use of the transitive and intransitive counterparts can be seen in (27) and (28) respectively.

(27)Transitive inflection: EA

> una nasaurluyagaq avaginanerani-am una nasaurlur-yagar ayag-inaner-ani=am leave-past.contemporative-3sg=emphatic this girl-little kitukii kitur-ke-ii pass-part.trans-3sg/3sg As he was going, he passed a little girl

Intransitive inflection: EA (28)una mikelnguq kitulria una mikelnguq kitur-lria this child pass-part.intransitive.3sg A child passed by

This pattern of excluding semantic patients from the core by inflection serves an important discourse function in Yup'ik. In Yup'ik, as in a number of ergative languages, there is a grammatical requirement that absolutive arguments of transitives be identifiable (essentially definite; Mithun 1994). Unidentifiable (indefinite) semantic patients must be cast as obliques. In Yup'ik, the oblique category used for this purpose is the ablative. (The Eskimoan languages differ in this area.) An example of a nonspecific indefinite semantic patient can be seen in (29). It is not represented in the pronominal suffix on the verb, since it is not a core argument. The noun carries the ablative plural case suffix -nek.

Oblique indefinite patient: EA (29)niitaqluteng cali yugnek cali yug-nek niite-age-lu-teng hear-repeatedly-sub-3pl and person-pl.ABLATIVE And they (ABSOLUTIVE) would hear people (ABLATIVE)

The noun 'small bird' in (30) is specific but still indefinite. It carries the ablative singular suffix -mek and is also not represented in the pronominal suffix complex -tek 'they two'.

Oblique indefinite patient: GC (30)yaqulcuarmek-llu-gguq, pitellinilutek taukuk yaqulek-cuar-mek=llu=gguq pite-llini-lu-tek tau-kuk bird-little-ABLATIVE=also=HEARSAY catch.game-apparently-sub-3du that-du

And it seems they (ABSOLUTIVE) must have caught a small bird (ABLATIVE)

Sentential complements are not sufficiently definite to be cast as core arguments.

Oblique sentential complement: EA (31)

> Maaten tangerrtug, iliit maaten tangerr-tu-q ila-it

suddenly see-INDICATIVE.INTRANSITIVE-3sg one.of-3sg/pl

issratet cataunani issrate-t cataite-na-ni basket-pl absent-sub-3sg

Suddenly he (ABSOLUTIVE) noticed (INTRANSITIVE) [that one of the baskets

was gone]

Once introduced, participants are immediately eligible for absolutive status. In (32), the pears were indefinite when they were first introduced into the discourse ('this man was picking pears'), so they appeared in the ablative case. As soon as they were introduced, however, they could be considered definite, and so were eligible for absolutive status ('putting them into a basket, arranging them carefully').

Shift to identifiable and core status: EA (32)

> ciungani-gguq una angun, napami, atsanek ciu-ngani=gguq una angute napa-mi atsar-nek front-3sg/3sg.Loc=HEARSAY this man tree-Loc fruit-pl.ABLATIVE

In the beginning this man, in a tree,

iqvalria, atsarpagnek, issramun iqvar-lria atsar-rpag-nek issrate-mun pick-part.intransitive.3sg fruit-very.large-pl.ablative basket-allative was picking pears (ABLATIVE), large pears (ABLATIVE),

elliluki. pinqeggcarluki pingegg-car-lu-ki elli-lu-ki put-sub-R/3pl neat-try-sub-R/3pl putting them (ABSOLUTIVE) into a basket, arranging them (ABSOLUTIVE) carefully

Because of the grammatical restriction against indefinite absolutives, most transitive stems, particularly those that might co-occur with indefinite patients in discourse, have some intransitive counterpart. As we saw above,

agentive ambitransitives like 'pass by' may simply be inflected intransitively for this purpose. But transitive-only stems have no intransitive counterparts.

(33) Transitive only
ikayur- 'to help'
ikayuraa 'he is helping her' TRANSITIVE
(no \*ikayurtuq)

The patientive ambitransitives, such as 'cut fish for drying', do have intransitive counterparts, but their single argument is the semantic patient, the very participant that should be excluded from the core by an antipassive.

(34) Intransitive inflection of patientive ambitransitive

ulligteulligtaa 'to cut fish for drying in the traditional manner'
ulligtaa 'she cut it for drying'
ulligtuq 'it is cut for drying'
TRANSITIVE
INTRANSITIVE

Yet it would seem that speakers would want to use verbs such as these with indefinite semantic patients as well, in order to say such things as 'she's helping out' or 'she cut things'.

Such expressions are made possible by two derivational detransitivizers,  $-(u)te^-$  and  $-(g)i^-$ , originally termed by Kleinschmidt 'half transitives'. They function as derivational antipassives, eliminating the semantic patient from the set of core arguments.

(35) Derivational detransitivizers -(u)te-, -(g)i-

'to help' ikavur-'she helped him' ikayuraa TRANSITIVE ONLY 'she is helping out' DERIVED INTRANSITIVE ikayu<u>ut</u>-uq 'to cut fish for drying' ulligte-PATIENTIVE TRANSITIVE 'she cut it (the fish)' ulligt-aa TRANSITIVE 'she cut fish for drying' DERIVED INTRANSITIVE ulligci-uq

Use of the first detransitivizer with another transitive-only base can be seen in (36).

(36) Detransitivized transitive-only

tegu
(no basic intransitive \*teguuq)
tegua 'he took it'
tegutuq 'he took (something)'

Use of the second detransitivizer with another patientive ambitransitive can be seen in (37).

Camilini tuai maurluqa
camilini tuai maurluqa
camilini tuai maurluq-ka
sometimes and grandmother-1sg/3sg
And sometimes my grandmother
ulligcinaurtuq
ulligte-i-naur-tu-q
cut.fish-DETRANSITIVIZER-HAB-INDICATIVE.INTRANSITIVE-3sg
luqruuyanek
luqruuyag-nek [...]
pike-pl.ABL
would cut up pike [and make Eskimo ice cream]

The diachronic source of these two detransitivizers is interesting. Jacobson (1984: 453) traces  $-(u)te^-$  to a benefactive and  $-(g)i^-$  to a malefactive. In transitive benefactive constructions, the benefactor appears in the ergative case and the beneficiary in the absolutive.

(38) Benefactive -ute-quuyurnitaa quuyurni-ute-a-a smile-<u>BENEFACTIVE</u>-INDICATIVE.TRANSITIVE-3sg/3sg He (ERGATIVE) is smiling at her (ABSOLUTIVE)

In malefactive transitives, the ergative argument acts to the disadvantage of the absolutive.

(39) Transitive malefactive (Jacobson 1995: 134):

qimugtem neria angun
qimugte-m nere-i-a-a angun
dog-ergative eat-<u>MALEFACTIVE</u>-INDICATIVE.TRANSITIVE-3sg/3sg man
akutamek
akutar-mek
mixture-ABLATIVE
The dog (Ergative) ate some dried fish on the man (ABSOLUTIVE) (ate the man's fish)

It might be wondered how applicative morphemes like the benefactive and malefactive, whose primary function is to increase the valency of a stem, could evolve into detransitivizers. The evolution is explicable in terms of the Yup'ik transitivity classes. The applicatives can derive agentive ambitransitives. When the agentive ambitransitives are inflected intransitively, they leave just an agent absolutive as the only core argument. This result is the essence of antipassivation. Thus for the basic transitive-only root *ikayur*- 'help', there can be no intransitive inflection, but benefactive derivation can yield an

agentive ambitransitive:  $ikayur-ute- \rightarrow ikayuute-$  'help to the benefit of'. The derived stem can then be inflected intransitively, leaving the helper as the only core argument: ikayuutuq 'she is helping out', the desired result. Some semantic motivation can be imagined behind the alternation of the benefactive and malefactive suffixes in this function: helping is usually to the benefit of someone, while cutting up fish is to the detriment of the fish. In general, however, the alternation between the two suffixes in this function is now a

#### 4 Valency-increasing derivation

lexical matter, not necessarily semantically transparent.

Yup'ik contains an extensive set of valency-increasing suffixes. Some are common cross-linguistically, such as the causatives, and some are less common, as will be seen. The Yup'ik causative system is somewhat unusual in its elaboration and its pervasive syntactic use.

#### 4.1 Causatives

A number of causatives are built into the lexicon. Many patientive ambitransitives show a causative relationship between their intransitive and transitive forms, such as *akngirte*- 'get hurt', 'hurt someone'. Causation is automatically indicated by the transitive inflection.

(40) Causative patientive ambitransitive

akngirte- 'to get hurt (INTR), to hurt someone (TR)'

akngirt-uq 'he got hurt' INTRANSITIVE
akngirt-aa 'he hurt her' TRANSITIVE

akngirt-aa 'he hurt her'

There is also a substantial set of derivational causatives, most with slightly specialized meanings. The nature of the differences among them is not what might be expected, in that they often do not distinguish the degree of compul-

(41) Derivational causatives

sion involved.

-vkar-/-cete- 'let, allow, permit, cause, compel'

-te- 'let, allow, cause, compel'

-nar- 'cause

-rge- 'intentionally or deliberately cause'

-cetaar-narqe-naite-cir
'try to cause'
-tend to cause'
-tend not to cause'
-tend not to cause'
-tend not to cause'

-(r/l)i- 'become or cause to become'

The most general causative has phonologically conditioned variants -vkar-/-cete- (-vkar- after vowels, -cete- after consonants). It is used for a full range of causation, from allowing to compelling. All of the causatives appear on both intransitive and transitive bases.

- (42) 'permit': EC
  alular<u>cec</u>ungramku
  alular<u>-cet</u>-yug-ngrar-mku [ . . . ]
  operate.motor-<u>permit</u>-want-CONCESSIVE-1sg/3sg
  Even if I wanted to <u>let</u> him to operate the motor [Daddy would not ask him]
- (43) 'invite': EA
  nerevkarluki-llu tamalkuita yuut
  nere-vkar-lu-ki=llu tamalkuita yug-et
  eat-cause-sub-r/3pl=too all person-pl
  and they gave a feast for everyone (lit. And they made everyone eat)
- (44) 'let', 'make': EA
  igte<u>vkar</u>aa
  igte-<u>vkar</u>-aa
  fall-<u>cause</u>-INDICATIVE.TRANSITIVE-3sg/3sg
  He <u>made</u> her fall / He dropped it
- (45) 'make': EC
  qerali<u>vkar</u>luku-ll'
  qer'aq-li-<u>vkar</u>-lu-ku=llu
  fishrack-make-<u>cause</u>-sub-R/3sg=too
  and I made him build fish racks
- (46) 'force': GC
  tuai-q', ilateng, ane<u>vka</u>ngnaqluki . . .
  tuai=wa ila-teng ane-<u>vkar</u>-ngnaqe-lu-ki
  and.then=EMPHATIC relative-R/3pl go.out-<u>compel</u>-try-sub-R/3pl
  They would try to <u>force</u> their relatives out

A second causative *-te-* contributes the same range of meanings: 'let', 'allow', 'cause', 'compel'. Its alternation with *-vkar-/-cete-* appears to be lexically conditioned.

(47) Causative -te-: EA
uitasenga
uita-te-nga
stay-let-OPT.2sg/1sg
Leave me alone!

#### 100 Marianne Mithun

A third causative is no longer productive, but it appears in a number of verbs and nouns:

(48) Non-productive -nar- 'cause', 'one that causes' (Jacobson 1984: 502):

kenegnartuq qatlinaq
keneg-nar-tu-q qatli-nar
love-cause-INDICATIVE.INTRANSITIVE-3sg sting-cause
She is lovely nettle

The causatives themselves may be causativized.

(49) Causative of causative: EA

igtevkartaanga
igte-vkar-te-a-anga
fall-cause-cause-indicative.transitive-3sg/1sg
He made me drop it

Additional causative suffixes contribute slightly different shades of meaning. The suffix *-rqe-* includes a component of intention:

- (50) -rqe- 'deliberately cause' (Jacobson 1984: 549):
- (a) qia- 'cry' qiarqaa 'he intentionally made her cry' (qiavkaraa 'he made her cry')
- (b) tupag- 'wake' tupagqaa 'he woke her up intentionally'

The causative -cir- indicates causation without direct effort, by waiting and allowing something to happen.

- (51) -cir- 'let, wait for': EC
- (a) anlluki kiner<u>cir</u>luki
  ane-te-lu-ki kiner-<u>cir</u>-lu-ki
  go.out-with-sub-R/3pl dry-<u>wait.for</u>-sub-R/3pl
  ... they would take them out and <u>wait for</u> them to dry
- (b) tuntuviit, aner<u>cir</u>aqluki tuntuvag-et aner-<u>cir</u>-aqe-lu-ki moose-pl exit-<u>wait</u>-would-sub-R/3pl We would <u>wait for</u> the moose to go out

Several causatives with more specific meanings have been formed from the compounding of two suffixes. The suffix *-cetaar-* 'try to cause' is descended from the causative *-cete-* followed by a suffix *-aar-* 'repeatedly' (Jacobson 1984: 439).

cetaar- 'try to cause': EC
naucetaarvigtun
nau-cetaar-vig-tun
grow-try.to.cause-place-AEQUALIS
like a place to try to grow things
ayuqelartut
ayuqe-lar-tu-t
be.alike-habitually-INDICATIVE.INTRANSITIVE-3pl
they are the same as
They are like flower pots

Another causative -*narqe*- was formed from a combination of the two causatives -*nar*- and -*rqe*- (Jacobson 1984: 505). It adds the meaning 'tend to cause'.

-narqe- 'tend to cause' on intransitive: EC

aling epsalngunarqellrullini-wall'

aling epe-yar-lngu-narqe-llru-llini=wall'

oh.my suffocate-would-be.indisposed.from-tend.to.cause-PAST
apparently=EMPHATIC

oh my it was apparently very tedious

It sure was tedious! (epesalngu- 'to feel bad because of lack of fresh air')

-narqe- 'tend to cause' on agentive ambitransitive: EA
ircinrrat irr'inarqut
ircinrrar-t ir'i-narqe-u-t
little.people-pl stare-tend.to.cause-INDICATIVE.INTRANSITIVE-3pl
The Little People are to be marvelled at

It often occurs with what are termed 'emotional' roots by Jacobson.

-narqe- 'tend to cause' with emotional root: GC
anglanarqelallruuq,
angla-narqe-lar-llru-u-q
be.fun-tend.to.cause-habitually-PAST-INDICATIVE.INTRANSITIVE-3sg
akakiignek, qalulleq
akakiig-nek qalu-ller
whitefish-pl.ABL dip-PAST.NOMINALIZER
It used to be fun dipnetting whitefish

It has a negative counterpart -naite- 'tend not to cause', formed from a combination of -nar- and the negative -(ng)ite- (Jacobson 1984: 502).

(56) -naite- 'tend not to cause': EA
takumcunaituq
takumcu-nait-u-q
pity-tend.not.to.cause-INDICATIVE.INTRANSITIVE-3sg
He does not engender pity

Finally, causative meaning can be added by a suffix -(r/l)i- whose function is primarily inchoative: 'become or cause to become'.

(57) -(r/l)i- 'cause to become': GC

uqnariqapiarluku camiliini-llu yuut.

uqnarqe-i-qapiar-lu-ku camiliini=llu yug-et

burning.hot-make-very-sub-r/3sg sometimes=also person-pl.erg

And sometimes the people would make it (the steambath) very hot

#### 4.1.1 The fate of extra arguments

When a causative is added to an intransitive verb, the causer is expressed as an ergative, and the causee or secondary agent, that is the one caused to act, appears as the absolutive.

(58) Secondary agent of intransitive is absolutive: EA; EA (elicited)

- (a) tutgara'urluq tauna ayavkaq<u>ii</u>
  tutgarar-urluq tauna ayag-vkar-ke-<u>ii</u>
  grandchild-dear that go-allow-PART.TR-<u>3sg/3sg</u>
  He (ERGATIVE) allowed the grandchild (ABSOLUTIVE) to leave
- (b) aipama mingqevkaraanga
  aipar-ma mingqe-vkar-a-anga
  spouse-1sg/3sg.erg sew-cause-INDICATIVE.TRANSITIVE-3sg/1sg
  qerrulliigminek
  qerrullii-gminek
  pant-3rsg/3du.ABL
  My husband (ergative) had me (ABSOLUTIVE) sew his pants (ABLATIVE)

When the caused action is transitive, the intermediate agent (causee) is not a core argument. The core arguments are the causer (ergative) and the entity ultimately affected (absolutive). The intermediate agent, the causee, may be identified by an allative nominal.

- (59) Secondary agent of transitive is allative: GC; EA (elicited)
- (a) ciin yungcarista<u>mun</u> kitugtevkarluku pillrunritececiu ciin yugngecarista<u>mun</u> kitugte-vkar-lu-ku pi-llru-nrite-ce-ciu why doctor-ALLATIVE repair-cause-SUB-R/3sg do-PAST-NEG-Q-2du/3sg Why didn't you (ERGATIVE) get him (ABSOLUTIVE) fixed by the doctor (ALLATIVE)?
- (b) angutem paniminun
  angute-m panig-minun
  man-ERG daughter-3R.sg/3sg.ALLATIVE
  mingqevkarak
  mingqe-vkar-a-k
  sew-cause-INDICATIVE.TRANSITIVE-3sg/3du
  The man (ERGATIVE) asked his daughter (ALLATIVE) to sew them (his pants (ABSOLUTIVE du))

#### 4.1.2 The syntactic function of causatives

Among the inflectional moods in Yup'ik is one termed the 'subordinative'. The Yup'ik subordinative does much more than mark syntactically subordinate clauses within sentences. It also links sentences over stretches of discourse. It serves to relate sentences, which may be intonationally independent, on a higher level of structure, such as sequences of events in narrative, as in (60). There is a grammatical requirement on such sequences: subordinatives must show the same SUBJECT as the main clause (glossed as the coreferential r).

(60) Subordinative mood: EC

kiagmi uksurpailegan, ayunek kiag-mi uksur-paileg-an ayut-nek

summer-Loc be.winter-PRECESSIVE-3sg Labrador.tea-pl.ABL

in summer before winter Labrador tea

pit'lallruuq waten
pite-lar-llru-u-q waten
hunt-habitually-PAST-INDICATIVE.INTRANSITIVE-3sg like.this
she used to pick (INDICATIVE) this way

amllerivke<u>na</u>ki qillertag<u>lu</u>ki. amller-i-vke-<u>na</u>-ki qillerte-aqe-<u>lu</u>-ki be.many-making-not-<u>sub-</u>R/3pl tie-habitually-<u>sub-</u>R/3pl

not making many (SUBORDINATIVE) tying them together (SUBORDINATIVE)

enemun agartaq<u>lu</u>ki, tuai-ll' tamakut ena-mun agar-te-aqe-<u>lu</u>-ki tuai=llu tama-kut house-ALL hang-cause-habitually-<u>sub-</u>R/3pl then=and those-pl to the house hanging them (subordinative) and then those

agiirte-ku-ata atauciq-in atauciq-in approach.from.distance-COND-3pl one-3sg/3pl.erg when they arrived (CONDITIONAL) one of them

teguluki, kumarrlukik amiigem-llu tegu-lu-ki kumarte-lu-ki amiig-m=llu grasp-sub-r/3pl light-sub-r/3pl door-erg=also taking them (subordinative) lighting them (subordinative) and the door

ciuqranun nangertevkar<u>lu</u>a tarvar<u>lu</u>a ciuqerr-anun nangerte-vkar<u>-lu</u>-a tarvar<u>-lu</u>-a front-3sg/3sg.ALL stand-cause-<u>sub-r</u>/1sg bless-<u>sub-r</u>/1sg in front of it having me stand (<u>subord</u>) blessing me (<u>subord</u>).

'In the summertime, before winter, <u>she</u> (my grandmother) used to pick Labrador tea leaves. <u>She</u> would tie them together this way, not very many of them. <u>She</u> would let them hang inside the house. Then when those people

were arriving (people invited to a potlatch), she would take one of the bundles, light it, and have me stand in the doorway, blessing me.'

(In spontaneous speech, the controlling sentence is not always overt.) The fact that subject coreference is a grammatical requirement can be seen in the unambiguous interpretation of sentences like that in (61).

(61) Subject continuity in subordinatives: EA wangakii ayagluni tanvag-ke-ii ayag-lu-ni look.at-participial.tr-3sg/3sg leave-subordinative-3sg He watched her as he went

The pattern of linking clauses that share the same subject, or mental point of departure, is not surprising. They are portrayed as elements of a larger discourse unit. On occasion, however, a clause might be considered an integral component of this unit, but involve a different subject. It is here that the causatives are exploited for purely syntactic purposes. In the third line of (60) above, for example, the essential message might have been 'She would tie them together, they were not many'. Instead of making the tea-leaves the subject of the second clause, however, Mrs Charles retained her grandmother as subject by adding the suffix -i- 'make, cause': 'She tied them together, not making them many'. Causatives can maintain subject continuity by adding a causer that is coreferential with the rest of the sequence. In the last line of (60), rather than 'I would stand in the doorway and she would bless me' with different subjects 'I' and 'she', Mrs Charles used a causative: 'She would have me stand in the doorway and (she) would bless me'.

These uses of the causative in (60) seem quite natural, a way the events might have been expressed regardless of the syntactic requirement of coreference. In some contexts, however, the purely syntactic function of the causative is clear, as in (62) from Jacobson.

(62) Causative in purely syntactic function (Jacobson 1995: 333):
yuurtellruunga apa'urluqa
yug-urte-llru-u-nga apalur-ka
person-become-PAST-INDICATIVE.INTRANSITIVE-1sg grandfather-1sg/3sg
I was born my grandfather

tuqurraar<u>ce</u>lluku tuqu-rraar<u>-cete</u>-lu-ku die-first-<u>allow-suB-</u>R/3sg I let him die first I was born after my grandfather died

Of course I could not cause or even allow the death of my grandfather before I was born.

A detail adding a final complication to the picture is the fact that purely syntactic causative suffixes are sometimes omitted in natural speech. Their effect is still observable in the argument structures of the pertinent verbs, however, as can be seen in the pronominal suffix 'one/her' on 'go by boat' in (63).

(63) Omission of purely causative suffixes: EC natmun ayagteciiqaa nat-mun ayag-te-ciiq-a-a where-ALL go-cause-FUT-INDICATIVE.TRANSITIVE-3sg/3sg angyarluku.

angyar-(0)-lu-ku
go.by.boat-(cause)-sub-R/3sg
She will be allowed to go somewhere with a boat.

#### 4.2 Other agent addition

In addition to the causatives, Yup'ik contains a more unusual set of valency-increasing derivations that add an agent to the set of core arguments. These are suffixes with meanings something like those of evidentials in certain other languages, but they differ significantly in that they alter argument structure. The suffixes are -sqe- 'request that, want', -ni- 'claim, say that' -yuke- 'think that' and -nayuke- 'think that perhaps, expect'.

#### 4.2.1 -sqe- 'request that, want'

The suffix -sqe- can be added to both intransitive and transitive bases. When added to intransitives, it derives a transitive verb whose ergative argument is the person requesting, and absolutive argument is the person requested to act.

- (64) Derivation from intransitive base: EC; NC
- (a) yungcaristem tagesqaten
  yungcarista-m tage-sqe-a-ten
  doctor-ERG go.up/inland-request-INDIC.TR-3sg/2sg
  The doctor (ERGATIVE) has asked you (ABSOLUTIVE) to go (to the hospital)
- (b) uyangterraresqeluku pitangqertassiarluku uyangte-rrar-sqe-lu-ku pitar-ngqerr-tassiar-lu-ku look.leaning.forward-first-ask-sub-R/3sg (You (ERGATIVE)) have him (ABSOLUTIVE) look to see if there is game

When the suffix -sqe- is attached to a transitive base, it yields a transitive verb whose ergative participant requests action on the absolutive by the allative participant.

#### 106 Marianne Mithun

(65) Derivation from transitive base: EC; EA (elicited)

(a) tutraragka, aipak piyugngakan,
tutrar-gka apia-k pi-yugnga-aqa-an
grandchild-1sg/3du.ABL other-du do-able-CONTINGENT-3sg
my two grandchildren one of them if he is able to do
When I arrive, I (ERGATIVE) will have one of my grandchildren (ALLATIVE)

tekiteqataquma, pairrsaagesqellua tekite-qatar-qu-ma pairte-ssaag-sqe-lu-a arrive-going.to-COND-1sG meet-try-ask-sUB-R/1sG when I am going to arrive asking him to come meet me try to meet me (ABSOLUTIVE) if he can

(b) anngama paniminun
anngar-ma panig-minun
older.brother-1sg/3sg.erg daughter-3r.sg/3sg.all
atuutesqai
atur-ute-sqe-a-i
sing-for-ask-INDICATIVE.TRANSITIVE-3sg/3pl
My older brother (ERGATIVE) asked his daughter (ALLATIVE) to sing for them (ABSOLUTIVE)

4.2.2 -ni- 'claim, say that', -yuke- 'think that' and -nayuke- 'think that perhaps'

The suffixes -ni-, -yuke- and -nayuke- can be added to both intransitive and transitive bases. Any of the derived verbs can then be inflected either intransitively or transitively. In all of these constructions, the absolutive argument of the base remains as the absolutive argument of the derived verb. Intransitive inflection indicates that someone is claiming or thinking something about himself or herself.

- (66) Intransitive from intransitive: EA (elicited)
- (a) kaig<u>ni</u>uq
  kaig<u>ni</u>-u-q
  hungry-<u>claim</u>-INDICATIVE.INTRANSITIVE-3sg
  She (ABSOLUTIVE) says she's hungry
- (b) kaigyukuq
  kaig-yuke-u-q
  be.hungry-<u>think</u>-INDICATIVE.INTRANSITIVE-3sg
  She (ABSOLUTIVE) thinks she's hungry

If someone else is making the claim or having the thought, the verb is inflected transitively, with the claimer or thinker in the ergative case.

(67) Transitive from intransitive: GC (elicited)

kaig<u>ni</u>a
kaig<u>ni</u>a-a
hungry-<u>claim</u>-INDICATIVE.TRANSITIVE-3sg/3sg
She (ERGATIVE) says he (ABSOLUTIVE) is hungry

(b) kai<u>nayuke</u>luki ukliuq
kaig-<u>nayuke</u>-lu-ki ukli-u-q
hungry-<u>think,maybe</u>-sub-r/3pl cut.up-INDICATIVE.INTRANSITIVE-3sg
She is preparing food, (she (ERGATIVE)) thinking they (ABSOLUTIVE) might
be hungry

(There is no gender distinction. Different genders are used here in the free translations simply to keep reference clear.)

If the claim or thought is about a transitive action, the agent of that act can be identified by a noun in the allative case.

(68) Intransitive from transitive (Jacobson 1995: 324):

arnaq ikayullruniuq angutmun

arnaq ikayu-llru-ni-u-q angute-mun

woman help-past-claim-indicative.intransitive-3sg man-allative

The woman (ABSOLUTIVE) says that the man (ALLATIVE) helped her

(69) Transitive from transitive (Jacobson 1995: 326):

aatav<u>nun</u> civtellru<u>yuk</u>aqa kuvyaq
aata-v<u>nun</u> civte-llru-<u>yuke</u>-ar-ka kuvyar
father-2sg/3sg.<u>ALL</u> set-PAST-<u>think</u>-INDICATIVE.TRANSITIVE-1sg/3sg net
I (ERGATIVE) think your father (ALLATIVE) set the fishnet (ABSOLUTIVE)

#### 4.2.3 Syntactic usage

The derivational suffixes of requesting, claiming and thinking add an agent argument, much like the causatives do. They are exploited in the same way as causatives to ensure the maintenance of subject coreference in subordinative sequences, especially with verbs of requesting, speaking and thinking. Thus rather than saying 'They<sub>i</sub> invited the Yup'ik people (them<sub>j</sub>) to come to their house', Mrs Ali used a derived request form: 'They<sub>i</sub> invited them<sub>j</sub>, they<sub>i</sub> requesting them to come'.

(70) Request for intransitive action with -sqe-: EA
yuut kelellinikait, enitnun
yug-et keleg-llini-ke-ait ena-it-nun
person-pl invite-apparently-PART.TR-3pl/3pl house-3pl/sg-ALL
ayasqeluki
ayag-sqe-lu-ki
go-request-sub-R/3pl
It seems they invited the Yup'ik people to come to their house

The same strategy is used with transitives. Rather than saying 'He requested that she sing-for them', Mrs Ali volunteered 'He requested her, he asking (her) to sing-for them'.

(71) Request for transitive action with -sqe-: EA (elicited)
elliimeraa atuutesqeluuki
ellimer-a-a atur-ute-sqe-lu-ki
request-INDICATIVE.TRANSITIVE-3sg/3sg sing-for-ask-SUB-R/3pl
He ordered her to sing for them

The same strategy can be seen with -ni- 'claim' in (72). Rather than saying 'When we were about to stop playing tag, the one who was it was the winner', Mrs Charles said, 'When we were about to stop playing tag, we would proclaim the one who was the winner'.

Claiming with -ni-: EC (72)una-llu piaglut<u>a</u> tagekugtagamta una=llu pi-aqe-lu-ta tage-kugte-aqa-mta stop-about.to-CONTINGENT-<u>lpl</u> do-habitually-SUB-<u>lpl</u> this=and and this one when we were about to stop we would say agtur<u>ci</u>tenritelleq taqvailemta agtur-cir-te-nrite-ller tage-vaileg-mta quit-precessive-1pl touch-get-applicative-not-past nominalizer before we stopped the one who had not been touched qalli<u>ni</u>lu<u>ku</u> galli-ni-lu-ku one.on.top-claim-sub-R/3sg we claimed him/her winner And when we were about to stop (playing tag), we would say that the one who had not been touched before we stopped was the winner

#### 5 Applicatives

Yup'ik contains several applicative suffixes that function to derive transitives by adding an absolutive argument. The base may be either intransitive or transitive. The most productive applicative is the general -(u)te- 'to, for, with, together, reciprocally'. Its precise function depends on the meaning of the verb to which it is attached.

(73) Verbs of communication: 'to' EA
uumiku qalar<u>uc</u>iiqamken
uumiku qalarte-<u>ute</u>-ciiqe-ar-mken
next.time talk-<u>to</u>-FUTURE-INDIC.TR-1sg/2sg
I'll talk to you later

- (74) Benefactive 'for': EC
  kaluka<u>ul</u>luta unuaquani
  kalukar-<u>ute</u>-lu-ta unuaqu-ani
  hold.feast-<u>for</u>-sub-R/lpl next.day-3sg/3sg.ABL
  They made us a Kalukaq the next day
- (75) Verbs of motion: comitative 'with' EA eliin aya<u>ullua</u> elliin ayag-<u>ute</u>-lu-a
  3sg.ERG go-<u>with</u>-sUB-R/1sg
  He took me with him

The applicative is also used with dual or plural intransitive inflection to derive reciprocals.

(76) Intransitive applicative for reciprocal: EC anguya<u>ute</u>llratni, . . . anguyag-<u>ute</u>-tu-ller-atni fight.in.battle-<u>with.each.other</u>-customarily-PAST.CONTEMPORATIVE-3pl When they used to fight <u>each other</u> = when there were wars . . .

If the base is transitive, the semantic patient may be overtly identified by an ablative noun.

(77) Semantic patient as ablative: EC

aaniin-wa qanr<u>ute</u>lallrulliki
aana-an=wa qanr<u>ute</u>-lar-llru-li-ki
mother-3sg/3sg.ERG=EMPHATIC say-to-habitually-PAST-OPT.TR-3sg/3pl
her mother she used to tell her
I guess her mother used to tell her

ilallrin atritnek
ila-ller-in ater-itnek
relative-PAST-R/3pl.ERG name-3pl/pl.ABL
her relatives that used to be their names
the names (ABLATIVE) of her deceased relatives

As seen earlier, Yup'ik also contains a malefactive suffix -(g)i. The transitive malefactive in (39) is from Jacobson (1995). More usual for the Charles family are intransitive malefactives.

(78) Intransitive malefactive: EA

tulukarulkuk neriulkugtur-am
tulukarug-lkug nere-i-ur-lkug-tu-r=am
raven-despicable eat-MALEFACTIVE—purposely-despicablyINDICATIVE.INTRANSITIVE-3sg-EMPHATIC
That darned raven (ABSOLUTIVE) is deliberately eating food intended for someone else

The victim may be expressed obliquely by a nominal in the ablative case. The sentence in (79) came up in the context of a man digging on the tundra, who uncovered a cache of roots stored by mice.

(79) Ablative victim of malefactive: EA

elagiuq avelnganek

elag-i-u-q avelngar-nek

dig-MALEFACTIVE-INDICATIVE.INTRANSTIVE-3sg mouse-pl.ABLATIVE

He (ABSOLUTIVE) dug to the disadvantage of the mice (ABLATIVE)

As seen in section 3.2, when this suffix is attached to a transitive-only base like 'help', or a patientive ambitransitive like 'cut up', it functions primarily as an antipassive, usually without malefactive sense. When it is attached to an intransitive-only base such as *ayag*- 'leave' or *ane*- 'go out', it again shows its original malefactive sense, but the absolutive argument of the derived malefactive is the victim.

(80) Malefactive on intransitive-only: absolutive victim (Jacobson 1984: 452):
[While he was boating in rough water,]
aniuq kevingutnek
ane-i-u-q kevirngut-nek
go.out-MALEFACTIVE-INDICATIVE.INTRANSITIVE-3sg caulk-pl.ABLATIVE
his caulking came out (of the boat)
(lit. he (ABSOLUTIVE) was de-caulked by caulking (ABLATIVE))

Two other applicative suffixes have been formed by suffix compounding. One of these is -(u)teke- 'on account of, concerning'.

(81) -(u)teke- 'on account of, concerning': EA
tuai-w', naurrlugaan
tuai=wa naurrlug-a-an
because=well ill-consequential-3sg
unegutkaa
unegte-uteke-a-a
remain.behind-on.account.of-INDICATIVE.TRANSITIVE-3sg/3sg
Well, she remained behind because of him, since he was sick

The other is -(u) cite-'in place of, instead of'.

- (82) -(u)cite- 'in place of, instead of' (Jacobson 1984: 446):
- (a) yuracitaa
  yurar-cite-a-a
  dance-in.place.of-INDICATIVE.TRANSITIVE-3sg/3sg
  He danced in her place

(b) cikir<u>cit</u>aa avukamek cikir<u>-cite</u>-a-a avukar-mek give.to-<u>in.place.of</u>-INDICATIVE.TRANSITIVE-3sg/3sg supplement-ABL She is giving bread to eat with tea in his place

Mrs Ali notes that this construction is used often because a child named for a deceased person carries out actions on behalf of that person, in memory of him or her.

#### 6 Summary

The rich inventory of valency-changing devices in Central Alaskan Yup'ik shows us some interesting ways in which such devices may vary cross-linguistically, both in their semantic detail and in their syntactic and discourse functions.

The effects of such devices are particularly clear in Yup'ik, due to the unusually explicit marking of argument structure. The indicative and participial mood suffixes on verbs show distinct transitive and intransitive forms, and all core arguments are specified by pronominal suffixes. The pronominal suffixes, which have now become fused complexes, show traces of an absolutive category in some moods (indicative, participial) but traces of a subject category in others (subordinative, connective). In addition, nouns are inflected for case. Noun case marking follows a clear ergative pattern.

Yup'ik contains both inflectional and derivational valency-decreasing morphology. Several devices can result in the elimination of a semantic agent from the set of core arguments of a clause, a function typically associated with passives. With one class of verbs, the patientive ambitransitives, a passive-like effect may be accomplished by simple intransitive inflection. For other verbs, only derivational suffixes can have such an effect. The uses of the suffixes reveal, however, that their primary function is not to alter argument structure but rather to focus on the state resulting from an action. One suffix, -cir-, contributes adversative meaning; the other, -ma-, emphasizes the lengthy quality of the resultant state. The two suffixes may affect case relations incidentally, but perhaps because that is not their primary function, they are not generally exploited for syntactic or discourse purposes.

By contrast, several antipassive-like devices play an important syntactic role. Yup'ik has a syntactic requirement that only identifiable (definite) arguments may serve as the absolutives of transitives. Events directed at indefinite patients must be expressed with grammatically intransitive verbs. With one class of verbs, the agentive ambitransitives, intransitive inflection alone is

sufficient: the resulting verb has only a single agentive argument. With other transitives, the derivational suffixes -(u)te- and -(g)i-, descended from a benefactive and a malefactive respectively, are used as detransitivizers.

Yup'ik also contains a substantial inventory of valency-increasing devices. The language is unusually rich in morphology that adds agents to the set of core arguments, with a rich set of causatives and another set of suffixes with evidential-like meanings. Some causatives are produced inflectionally. Many patientive ambitransitives show a causative relation between their alternate forms, such as katag- 'drop/fall'. Transitive inflection alone yields a causative. For other verbs, causatives are formed derivationally. The set of causative suffixes is large, and provides fine semantic distinctions: -vkar-, -te-, -nar-'let, allow, permit, cause, compel'; -cir- 'let, wait for, make'; -rqe- 'intentionally or deliberately cause'; -cetaar- 'try to cause'; -narqe- 'tend to cause'; -naite- 'tend not to cause'; and -(r/l)i- 'become or cause to become'. A second set of derivational suffixes add evidential-like meanings: -sqe- 'request that, want'; -ni- 'claim that'; -yuke- 'think that'; -nayuke- 'think that perhaps'. All of these derivational suffixes may be added to either intransitive or transitive bases. All serve an important syntactic function. Sequences of topically related sentences in Yup'ik are frequently linked in discourse with the subordinative mood. There is a grammatical requirement that all sentences so linked must share the same subject. The causative and evidential agent-adding suffixes are exploited to ensure the maintenance of subject continuity over such sequences. If a linked clause would not otherwise have a coreferent subject, one may be added as the causer, requester, claimer or thinker. (Causatives with a similar function have also been noted in the Pomoan languages of California, in Chechen and Ingush of the Caucasus, and in Coptic (Oswalt 1977, Nichols 1985, O'Connor 1992).)

Finally, Yup'ik contains several applicatives that serve to add an absolutive argument. The general applicative  $-(u)te^{-}$  'to, for, with, together, reciprocally' is pervasive. The malefactive  $-(g)i^{-}$  'to the detriment of' is rarer. Two more suffixes have come into the language through suffix compounding,  $-(u)teke^{-}$  'on account of, concerning' and  $-(u)cite^{-}$  'in place of, instead of'. All of these markers function to a certain extent to allow more topical participants, usually humans, to be expressed as part of the core, specified by the pronominal suffixes on the verb.

All of the valency-changing devices may result in the expression of semantic agents or patients outside of the core, with oblique nominals. Passives can result in the exclusion of semantic agents from the core, and antipassives in the exclusion of semantic patients. Valency-increasing processes can result in

the exclusion of certain arguments as well. When they are applied to bases that are already transitive, one of the original core arguments is displaced. With causatives and the evidential-like suffixes, the displaced argument is the intermediate agent. With benefactives and malefactives, the excluded argument is the semantic patient. In Yup'ik, the excluded arguments are handled in a systematic way. Semantic agents are expressed obliquely as allatives, and semantic patients as ablatives.

Valency-changing devices in Yup'ik are numerous, pervasive and often highly productive, but they are not simple mechanical operations. All are closely tied to the lexicon in one way or another. Of course some manipulation of argument structure is accomplished by simple lexical choice. The inflectional devices for altering argument structure are dependent on the transitivity class of individual lexical items (intransitive-only, transitive-only, agentive ambitransitive, patientive ambitransitive). The derivational devices are closely tied to the lexicon as well: they create lexical items that are stored and recognized as units by speakers. In many cases, such as with causatives and antipassives, suffix choice is simply a lexical matter, not predictable by general rule. At the same time, the valency-changing morphology serves important syntactic functions, ensuring that indefinite semantic patients are expressed outside of the set of core arguments, and preserving subjectcontinuity across subordinative sequences. The morphology also serves a discourse function, allowing speakers to cast important participants as core arguments, as with applicatives. The Yup'ik system, like that of most languages, shows the importance of considering the individual devices exploited for the manipulation of argument structure within the context of the language as a whole.

#### References

Egede, P. 1750. Dictionarium Grönlandico-Danico-Latinum. Copenhagen.

1760. Grammatica Grönlandica-Danico-Latina. Copenhagen.

Fortescue, M. 1984. West Greenlandic. London: Croom Helm.

Fox, B. and Hopper, P.J. (eds.) 1994. *Voice: form and function*, Typological studies in language 27. Amsterdam: John Benjamins.

Jacobson, S.A. 1984. Yup'ik Eskimo dictionary. Fairbanks: Alaska Native Language Center, University of Alaska.

1995. A practical grammar of the Central Alaskan Yup'ik Eskimo language. Fairbanks: Alaska Native Language Center, University of Alaska.

Kleinschmidt, S. 1851. *Grammatik der grönländischen Sprache*. Berlin. Reprinted 1968 in Hildesheim: Georg Olms Verlagsbuchhandlung.

- Mithun, M. 1994. 'The implications of ergativity for a Philippine voice system', pp. 247–77 of Fox and Hopper 1994.
  - (ed.) 1996. Prosody, grammar, and discourse in Central Alaskan Yup'ik. Santa Barbara Papers in Linguistics 7. University of California.
- Miyaoka, O. 1984. 'On the so-called half-transitive verbs in Eskimo', *Etudes/inuit/studies* 8, supplement issue: 'The Central Yup'ik Eskimos' (Département d'anthropologie, Université Laval, Quebec), pp. 193–218.
  - 1987. 'Ergativity in Eskimo (Central Alaskan Yupik)', Memoirs of the Research Department of the Toyo Bunko 45 (Tokyo: The Toyo Bunko). 25-51.
  - 1996. 'Sketch of Central Alaskan Yupik, an Eskimoan language', pp. 325-63 of *Handbook of North American Indians*, Vol. XVII: *Languages*, ed. I. Goddard. Washington, D.C.: Smithsonian Institution.
  - 1997. 'A chapter on the Alaskan Central Yupik subordinative mood', *Languages of the North Pacific Rim* 2 (Graduate School of Letters, Kyoto University, Japan). 61–146.
- Nedjalkov, V. (ed.) 1988. *Typology of resultative constructions*. Amsterdam: Benjamins, Nichols, J. 1985. 'Switch-reference causatives', *CLS* 21.193–203.
- O'Connor, M.C. 1992. Topics in Northern Pomo grammar. New York: Garland.
- Oswalt, R.L. 1977. 'The causative as a reference switching mechanism in Western Pomo', *BLS* 3.46-54.
- Reed, I., Miyaoka, O., Jacobson, S., Afcan, P. and Krauss, M. 1977. Yup'ik Eskimo grammar. Fairbanks: Alaska Native Language Center, University of Alaska.
- Shibatani, M. 1996. 'Applicatives and benefactives', pp. 157–94 of Shibatani and Thompson 1996.
- Shibatani, M. and Thompson, S. (eds.) 1996. Grammatical constructions: their form and meaning. Oxford: Clarendon.
- Woodbury, A.C. 1981. Study of the Chevak dialect of Central Yup'ik Eskimo. Ph.D. dissertation. University of California, Berkeley.

## 4 Transitivity and valency-changing derivations in Motuna

MASAYUKI ONISHI

#### 1 Introduction

Motuna is one of eight non-Austronesian, or Papuan, languages from Bougainville, Papua New Guinea.<sup>1</sup> It has several thousand speakers.<sup>2</sup>

Typologically, Motuna is an agglutinative language with considerable morphophonological fusion. It is both head-marking and dependent-marking. NPs are marked by case suffixes. Core case markings are ergative/absolutive (ergative marking is optional in certain environments – see §2(I)). Verbs, kinship terms, classifiers and numerals show extremely complex morphology, with both suffixing and prefixing.

Constituent order tends to be verb-final, with A and O in either order. Any NPs can be left unexpressed if understood from the context.

Bougainville is an island on the eastern end of Papua New Guinea, situated next to the border of the Solomon Islands. On the Bougainville main island, there are ten Austronesian languages which concentrate in the north and along the coast, and eight Papuan languages which concentrate in the south and the central part of the island. Siwai, where Motuna is spoken, is situated in the southern part, to the west of Buin.

Among the eight Papuan languages, Motuna, Buin, Nagovisi and Naasioi constitute a group (named 'East Bougainville Stock' by Allen and Hurd 1965), while the other four languages constitute another group ('West Bougainville Stock', ibid.). The first group is further divided into two subgroups (Buin Family consisting of Motuna and Buin, and Naasioi Family consisting of Naasioi and Nagovisi) based on their lexico-statistical analysis, but the legitimacy of this subgrouping is yet to be examined.

Motuna has a  $C_1V(C_2)$  structure where  $C_2$  is an archiphoneme realized as a glottal stop, a glottal fricative or a nasal homorganic to the following consonant (or a velar nasal word-finally). Other consonants occupy  $C_1$  slot. They are: stops p, t and k; nasals m, n and ng; fricatives s and h; rhotic r; and glides p and q. It has a typical five-vowel system with front high t, front mid t, back high t, back mid t0 and back low t2.

The latest figure is 6,600 based on the 1970 census. The 1980 census doesn't give any specific figure of Motuna speakers. The 1990 census was not carried out due to the political conflict on the island since 1989.

# Changing valency Case studies in transitivity

EDITED BY

R. M. W. DIXON

AND

ALEXANDRA Y. AIKHENVALD

Research Centre for Linguistic Typology Le Trobe University, Melbourne



PUBLISHED BY THE PRESS SYNDICATE OF THE UNIVERSITY OF CAMBRIDGE The Pitt Building, Trumpington Street, Cambridge, United Kingdom

CAMBRIDGE UNIVERSITY PRESS The Edinburgh Building, Cambridge CB2 2RU, UK http://www.cup.cam.ac.uk 40 West 20th Street, New York NY 10011-4211, USA http://www.cup.org 10 Stamford Road, Oakleigh, Melbourne 3166, Australia

© Cambridge University Press 2000

This book is in copyright. Subject to statutory exception and to the provisions of relevant collective licensing agreements, no reproduction of any part may take place without the written permission of Cambridge University Press.

First published 2000

Printed in the United Kingdom at the University Press, Cambridge

Typeset in 10/13 Times [GC]

A catalogue record for this book is available from the British Library

Library of Congress cataloguing in publication data

Changing valency: case studies in transitivity / edited by R. M. W. Dixon and Alexandra Y. Aikhenvald.

p. cm.

Includes bibliographical references and index.

ISBN 0 521 66039 4 (hardback)

1. Grammar, Comparative and general - Verb. 2. Grammar,

Comparative and general - Transitivity. 3. Grammar, Comparative and general - Voice. 4. Typology (Linguistics). 5. Dependency grammar.

I. Dixon, Robert M.W. II. Alkhenval'd, A. IU. (Aleksandra

(Ur'evna)

P281.C48 2000

415 - dc21 99-24413 CIP

ISBN 0 521 66039 4 hardback

#### Contents

	List of contributors	page xi
	Preface	xiii
	List of abbreviations	xiv
1	Introduction by R.M.W. Dixon and	
	Alexandra Y. Aikhenvald	1
	1 Predicate arguments and clause types	2
	2 Verb classes	4
	3 Changing valency	6
	4 Valency reduction	7
	5 Valency increase	12
	6 An integrated approach	16
	7 Semantic classes of verbs	19
	8 Alternative construction types	21
	9 The studies in this volume	22
	10 Topics for further investigation	25
	References	28
2	A typology of causatives: form, syntax and meaning	
	by R.M.W. Dixon	30
	1 Introduction	30
	2 Formal mechanisms	33
	2.1 Morphological processes	33
	2.2 Two verbs in one predicate	34
	2.3 Periphrastic causatives	35
	2.4 Lexical causatives	38
	2.5 Exchanging auxiliaries	41

		Conte	ents vii
3 Syntax			
3.1 Of intransitives	41	5 Transitivity in Tariana by Alexandra Y. Aikhenvald	145
3.2 Of transitives	45	1 Introduction	145
3.3 Of ditransitives	47	2 Typological characteristics of Tariana	145
3.4 Double causatives	56	2.1 Grammatical relations	146
4 Semantics	59	2.2 Verb structure	147
5 Meaning-mechanism correlations	61	2.3 Verb types	148
6 Summary	74	3 Decreasing transitivity	150
References	78	3.1 Passive	150
	79	3.2 Reciprocal -kaka	152
1 17		3.3 Summary	154
3 Valency-changing derivation in Central Alaskan		4 Increasing transitivity	154
Tup ik by Marianne Mithiin	0.4	4.1 Morphological causatives	155
1 Basic morphological structure	84	4.2 Causative serial verb constructions	159
2 Stem types	84	4.3 Periphrastic causatives	161
3 Valency-reducing derivation	86	4.4 Causative mechanisms in Tariana: a comparison	164
3.1 Agent omission	89	4.5 Argument-adding derivation	166
3.2 Patient omission	89	5 Transitivity and grammatical relations in Tariana	169
4 Valency-increasing derivation	93	Appendix 5.1: word classes and functional slots	
4.1 Causatives	98	in Tariana	171
4.2 Other agent addition	98	Appendix 5.2: Tariana verbal categories	171
5 Applicatives	105	References	172
6 Summary	108		
References	111	6 Voice and valency in the Athapaskan family	
	113	by Keren Rice	173
Transitivity and valoney of any		1 Background	173
Transitivity and valency-changing derivations in Motuna by Masayuki Onishi		2 Background on the argument system	174
1 Introduction	115	2.1 Grammatical roles	174
2 The structure of basic verbal clauses	115	2.2 Lexical verb classes	176
3 Verb structure and verb classes	117	3 On argument-transferring constructions in Athapaskan	
3.1 Verb structure	119	languages: background	177
3.2 Verb classes	119	4 On marking middle voice: d	178
4 Valency-changing derivations	121	4.1 Middle construction types	180
4 1 Valency increase in the second se	126	4.2 Summary	191
4.1 Valency-increasing derivations	126	4.3 Passive revisited	192
4.2 Valency-reducing derivations	137	4.4 Summary	199
<ul><li>4.3 Valency-rearranging (stimulative)</li><li>5 Conclusion</li></ul>	138	5 Causatives	199
	139	5.1 Intransitive verbs with patientive subjects	200
Appendix: examples of verbs in each class (§3.2) References	141	5.2 Intransitive verbs with agentive subjects	204
	143	5.3 Transitive verbs	209