PARSIMONIOUS OR PROFLIGATE: HOW MANY AND WHICH DISCOURSE STRUCTURE RELATIONS?

Eduard H. Hovy

Information Sciences Institute of the University of Southern California 4676 Admiralty Way Marina del Rey, CA 90292-6695 U.S.A.

tel: +1-310-822-1511 fax: +1-310-823-6714 email: hovy@isi.edu Elisabeth Maier

IRST Loc. Panté di Povo 38050 Trento-Povo Italy

tel: +39-461-81-0105 fax: +39-461-81-0851 email: maier@irst.it

Running head: Discourse structure relations

The first author was supported by the Rome Air Development Center under RADC contract FQ7619-89-03326-0001.

Abstract

Over the past ten years, researchers studying the structure of discourse have consistently had to face questions such as the following: Given that discourses consist of segments, how do the segments relate? What intersegment relations are there? How many are needed? A fair amount of controversy exists, ranging from the parsimonious position (that two basic relations suffice) to the profligate position (that an open-ended set of semantic/rhetorical relations is required). This paper outlines the arguments and then summarizes a survey of the conclusions of approximately 30 researchers — from linguists to computational linguists to philosophers to Artificial Intelligence workers. It fuses and taxonomizes the more than 400 relations they have proposed into a hierarchy of approximately 70 increasingly semantic relations, and argues that though the taxonomy is open-ended in one dimension, it is bounded in the other and therefore does not give rise to anarchy. Some evidence is provided for the organization of the taxonomy, as well as a full listing of the sources.

1 Discourse Structure and Discourse Relations

One of the first observations one makes when analyzing discourse is that it exhibits internal structure. Whether the unit of analysis is morphophonemic, a clause, a sentence, a paragraph, or the whole discourse, units cluster together in specific ways to form larger units, so that most discourses, if they are coherent, consist of a relatively small number of top-level units.

Just as a sentence can be analyzed into syntactic, semantic, thematic, focus, and other structures, a discourse can be analyzed in many ways at once. To obtain some clarification of the numerous ways, one can arrange the structural units of description along various dimensions. One dimension compares the *unit size*, on a range from morphophonemic to full discourse length. Discourse structure has been extensively studied at most unit sizes or levels, from the intonational patternings of spoken discourse (prosodic differences at discourse segment endings are described by [Hirschberg & Litman 87, Pierrehumbert & Hirschberg 87]), through the subclausal (for example, shifts in tense and mode [Marslen-Wilson et al. 82] or pronominalizations respecting discourse segment boundaries [Björklund & Virtanen 89, Passoneau 91, Levy 84]), through clause-level clustering (often given by cue words and phrases such as "in order to" or "then" which guide the reader's understanding inferences by providing clues as to how the pieces of the discourse interrelate [Grimes 75, Mann & Thompson 88, Dahlgren 88]), all the way up to the overall structural skeleton of the discourse (macrostructures [Kintsch & Van Dijk 75], story grammars [Rumelhart 72], Generic Structure Potential [Hasan 78], or schemas [McKeown 85]).

Another dimension of organization compares the *function of the unit*. This dimension includes argument structure (the development and reasoning underlying the argument) [Toulmin 58, Birnbaum et al. 80, Sycara 87]; affective structure (also called plot units) [Lehnert 82]; genreproducing structure (the structural coarticulation of various presentation styles, as for example a recipe consists of a list followed by a set of imperatives) [Martin 92]; intentional structure (the goal/plan or task-related organization of the discourse) [Grosz & Sidner 86, Moore 89]; semantic structure (the expression of domain-specific and general world knowledge in generic structural patterns) [McKeown 85, Paris 87], and so on.

A discussion of this plethora of analysis levels and types, each with its own terms, rules, and idiosyncracies, requires several books. We wish to focus in this paper on a specific level of analysis — the clause level — because in the past seven or so years it has been the focus of considerable interest in the computational text planning and language generation community. Several theories of interclausal relations have been quite productive in suggesting new and powerful ways to plan coherent paragraphs of text automatically from information stored in computers in various non-linguistic ways. Although limited in this paper to the clause level, we believe the relations described here pertain as well to both the subclause and the macrostructure levels; this will in many cases be obvious to the reader. We also believe that the kinds of relations described provide a basis for many, if not all, the functions of discourse units, whether the analysis focus on argument structure, intentional structure, affective structure, etc.

In this paper we make the following simplifying assumptions. A discourse (a spoken or written text) is a structured collection of clauses. The clauses are grouped into segments on intentional, semantic, and other grounds; the nesting of segments form to larger segments provides the discourse structure. A discourse can be represented as a tree structure, in which each node of the tree governs the segment (subtree) beneath it. At the top level, the discourse is governed by a single root node if it is coherent; at the leaves, the basic segments are single grammatical clauses. In every coherent discourse, juxtaposed segments are related depending on the underlying interrelationships and dependencies among their contents.

Though many of these assumptions do not do justice to the complexity of real discourse in particular, considerable evidence exists that discourse is not representable simply as a tree structure [Trabasso et al. 85, Graesser & Clark 85] — we consider them useful insofar as they enable computational experiments to be performed with text planners and generators. Such experiments, which include interactive data base question answering systems [Arens et al. 88], explainable expert systems [Moore & Swartout 90], and tutoring systems [Moore 89], can then be compared to human-human interactions and judged on the grounds of discoursal and functional adequacy, and shortcomings due to the simplifications can be identified, studied, and corrected.

1.1 The Problem: The Number of Relations

The study of discourse structure is severely hampered by the well-known difficulty of reliably identifying the discourse segments (but see [Passoneau & Litman 93] for some recent promising work). Any clues to segmentation, such as the cue words that indicate segment interrelations, are helpful. Since, as has been argued fairly generally, discourses are coherent by virtue of the rhetorical or semantic relationships that hold between segments [Aristotle, Grimes 75, Hobbs 79, Mann & Thompson 88], one can instead try to identify the set of interclausal relations people use, and from them try to infer something about discourse structure.

These relations, which govern the juxtaposition of clauses and clause clusters whatever the genre of the discourse and whatever task or function it fulfills, form natural building blocks of discourse structure. As such, in one way or another, the relations play a role in all the major computationally and logically oriented approaches toward the study of discourse. But even in the simplified view of discourse used in computational approaches, the nature and number of intersegment discourse relations is a serious problem, one that has become more relevant in recent years, as computational work on discourse has been attempted. This paper proposes a resolution of the problem, which can be stated in terms of two possible positions.

On the one hand, approaching the problem of discourse structure from several intellectual subfields, various researchers have produced lists of intersegment relations — from philosophers (e.g., [Toulmin 58]) to linguists (e.g., [Quirk & Greenbaum 73, Halliday 85]) to computational linguists (e.g., [Hobbs 79, Mann & Thompson 88, Knott & Dale 93]) to psycholinguists (e.g., [Sanders et al. 92, Redeker 91]) to logicians (e.g., [Asher 93]) to Artificial Intelligence researchers (e.g., [Schank & Abelson 77, Dahlgren 88]). Typically, their lists contain between five and thirty relations, though the more detailed the work, the more relations tend to be identified. In this paper, we call the position of these researchers, namely that (at least) tens of interclausal relations are required to describe the structure of English discourse, the *Profligate Position*.

On the other hand, some researchers, notably [Grosz & Sidner 86], prefer not to identify a specific set of such relations. They argue that trying to identify the "correct" set is a doomed enterprise, because there *is* no closed set; the closer you examine intersegment relationships, the more variability you encounter, until you find yourself on the slippery slope toward the full complexity of semantics proper. Thus though they do not disagree with the idea of relationships between adjacent text segments provide meaning and enforce coherence, they object to the notion that some small set of relations can describe English discourse adequately. As a counterproposal, Grosz and Sidner avoid the semantic effects on the structure of discourse by defining two basic structural relations, DOMINANCE and SATISFACTION-PRECEDENCE, which carry intentional (that is, goal-oriented, plan-based) but no semantic import. They use these relations in their theory of the structure of discourse, according to which some pieces of the text are either subordinate to or on the same "level" as other pieces with respect to the interlocutors' intentions. We call this position, namely that two intersegment relations suffice to represent discourse structure, the *Parsimonious Position*.

Comparing the two positions, the following questions arise:

- Is there a set of relations that people use?
- If so, which relations, and how many, are there?
- How are they defined? How are they best represented?
- How can they be used in computational text planners?
- How can one manage the problem of increasing semantic complexity?

1.2 Comparing the Alternatives

Depending on the depth of analysis required, the Parsimonious approach may be satisfactory. Certainly one can produce a discourse structure using only the two parsimonious relations. For discourse processing, however, the two relations are not sufficient. For example, when generating the following two clauses "Joe's car is much admired because it is a red sports car."

the author needs to know which semantic interrelationship to express: should the linking word be "because", "when", "unless", or none at all? It is the semantic relation of causality that provides the appropriate linking word and much of the structural/realizational information (had the interclausal relationship been temporal coincidence, the cue word would have been "when"; had it been elaboration, the second clause would have been subordinated to the first in a relative clause "Joe's car, which is...", and so on). As practical experience with text generation systems has repeatedly shown [McKeown 85, Hovy 88, Moore & Swartout 90, Paris 90, Rankin 89, Cawsey 90, Maybury 90, Dobeš & Novak 92], the two parsimonious relations alone do not provide enough information to allow the generation of appropriate cue words/phrases, syntactic forms, pronouns, etc.

Similarly, text analysis systems cannot provide adequate interpretations on parsimoniously structural considerations alone. In the following:

"Joe bought the sports car. He came into his inheritance." "Joe came into his inheritance. He bought the sports car."

the reader knows in both sentences that the time of inheritance precedes the time of buying, regardless of clause order, because of causal knowledge and an assumption that the discourse is coherent. An account of this discourse that ignores the causal relationship simply doesn't provide very much useful information and certainly doesn't ensure successful communication.

Based on the text planning argument outlined above, we believe that one cannot provide a sufficient account of discourse structure without using semantic/rhetorical relations. In addition, [Moore & Pollack 93] argue convincingly that for an adequate description of discourse at the clause level, one needs to represent the author's intentions in relating the clauses as well as the semantic relationships between them. Apparently we are forced into the profligate position. But how many relations are there? What are they? Which of the many collections of relations is correct?

The solution we propose is to use just as many relations as are required for the task or type of analysis being done. When the analysis requires merely partitioning a discourse into segments, as used in [Grosz & Sidner 86] and [Polanyi 88], the two parsimonious relations may well suffice. Here an analogy to syntactic classes may be instructive. It is possible to represent the syntactic structure of any sentence by using only the two relations Immediate Dominance and Linear Precedence, as done in the GPSG work on the ID/LP format for grammars [Gazdar et al. 85, Shieber 84]: these relations suffice to construct a tree. On the other hand, it is also possible to represent the syntactic structure of any sentence using a much richer set of terms, in the limit as rich as the actual verb itself to govern the predicate. Such an approach is in fact advocated by [Gross 84, Mel'čuk & Zholkovsky 70], who show that almost every verb is a class by itself, since almost every verb has in some aspect or other a unique predicate structure. Under their account, an adequate syntactic representation of any sentence requires not merely general terms such as VERB or TRANSITIVE-VERB but instead the actual verb name itself.

On the one hand, then, the parsimonious position: just two relations, and very little information about the classes involved. On the other hand, the profligate position: numerous relations, and much information about the classes involved. While the parsimonious syntax trees are easy to construct, they are not very informative; and while the profligate tree are very informative, they are difficult to construct. In practise, as with most things in life, most syntacticians compromise. They employ for syntactic descriptions a set of terms such as VERB, NOUN, ADJECTIVE, etc., that is neither as large as Gross or Mel'čuk and Žholkovsky would prefer, nor as small as used in the ID/LP format; simultaneously the terms are not as informative as those Gross or Mel'čuk and Žholkovsy provide nor as stark as those in ID/LP. Where necessary for the task at hand, people use more (or less) detailed terms, suffering the consequences of not being able to define them precisely (or losing information, respectively).

The analogy to the question of discourse structure relations is direct. While the two parsimonious relations provide as much information as one needs to build a tree, they do not convey the kind of information that a typical text generator requires, for example, to include appropriate structural cue words and phrases to guide the reader's inferences. On the other hand, as Grosz and Sidner say, if one attempts to describe the true semantic interrelationships among the various segments of the discourse, one is drawn into the quagmire of full semantic complexity, and as they show, such detail is not always pertinent in discussions of discourse structure.

We propose for general use a compromise solution of approximately 70 discourse structure relations, applicable at the clause level and higher. In the rest of the paper we provide these 70 relations, organized into a hierarchy of increasing specificity, and describe their sources and our taxonomization procedure. We believe that these relations play an important role in English discourse structure, and we have organized them to allow straightforward extension in a constrained way when more detail is required.

2 Collecting and Organizing Discourse Structure Relations

In a study spanning the past three years, the authors have collected intersegment clause-level discourse relations that are expressive enough to satisfy the requirements of text planning systems. In 1989, the first author collected and taxonomized over 350 such relations from approximately 30 researchers in various fields [Hovy 90b], including philosophy, linguistics, computational linguistics, psycholinguistics, and Artificial Intelligence. The collection work involved comparing names and definitions (described in Section 2.1) and then taxonomizing relations in a single hierarchy (described in Section 2.3). Subsequently, the authors found over 50 additional relations in other sources and produced an improved taxonomization, consisting of about 70 relations, first reported in [Maier & Hovy 92]. This taxonomy is still being extended; see [Hovy et al. 92]; in particular, we are currently collecting attempts to provide precise, formal definitions of these relations, notably from [Sanders et al. 92, Martin 92, Hobbs 90, Lascarides & Asher 91, Asher 93].

In this paper, rather than attempt to define each relation (an exercise requiring too much space), we refer the reader to the various sources, particularly to [Mann & Thompson 88, Hobbs 79, Sanders et al. 92, Ivir et al. 80, Martin 92]. In order to facilitate further research, particularly comparisons of the relations and definitions we encountered, the relations, sources, and a cross-index for each relation appear in the Appendix.

2.1 Merging Relations from Different Sources

Deciding whether or not to merge two similar-looking relations from different sources is a task bedeviled by two factors: differences in nomenclature and the frequent lack of any explicit definition at all¹. The central problem lies in comparing definitions and/or examples. Since space limitations preclude a full description of all our decisions, we illustrate our treatment and own definitions of two example relations, ELABORATION and CONCESSION.

2.1.1 The Relation ELABORATION

We compare several definitions and examples of relations which were labeled ELABORATION by the sources and check them for identity of meaning.

Hobbs 90:

Definition: Infer the same proposition P from the assertions of S0 and S1 (where S0 and S1 stand for the two text segments linked by the relation).

Example:

- 1. Go down First Street.
- 2. Just follow First Street down three blocks to A Street.

From the first sentence the reader can infer that he/she has to go down First Street to an unspecified goal. The second sentence allows the same inferences except that the goal ("A Street") and

¹We do not wish to cast aspersions on any source; defining semantic relations is a very difficult problem. For example, nobody has a general definition of CAUSE, though causality has been the topic of centuries of debate! Even limited definitions, as required for the purposes of Artificial Intelligence or Computational Linguistics computer programs in a particular application domain with a given ontology of terms, are difficult enough.

the distance ("three blocks") are specified. There is a certain set of inferences which coincide for both sentences. In this example the second sentence gives additional detail to the first (although for Hobbs this does not necessarily have to be the case; his definition includes exact reformulations of the first clause by the second).

Halliday 85:

Definition: One clause expands another by elaborating on it (or some portion of it), restating it in other words, specifying in it greater detail, commenting or exemplifying.

Example:

- 1. John didn't wait.
- 2. He ran away.

Halliday's definition, which is restricted to linking simple clauses, explicitly allows both for relations that simply restate and for relations that provide more detail. This way, Halliday hints that the ELABORATION relation can be subclassified into various subcategories.

Rhetorical Structure Theory — Mann and Thompson 87:

Definition: The Satellite [the clause of less importance] presents additional detail about the situation or some element of the subject matter which is presented in the Nucleus, or is inferentially accessible from the Nucleus, in one or more of the ways listed below:

- $\bullet \ abstract instance$
- set member
- whole part
- $\bullet \ object attribute$
- generalization specific

Besides the fact that this relation is the most detailed we have encountered — it specializes into five subclasses — it does not, like the definitions of Hobbs and Halliday, explicitly include restatements; for this function Mann and Thompson define a separate relation RESTATEMENT.

Dahlgren 88:

Definition: One clause gives details about or describes a part of a larger event reported in the other clause.

Being limited to events, Dahlgren's definition is narrower, specifying under ELABORATION a subset of the phenomena included by Mann and Thompson.

The definitions reproduced here are representative of those for elaborations (and somewhat more explicit than most sources' descriptions). As is clear, the sources have a common understanding of the semantics of this relation. For ELABORATION, we base our definition on the above ones to get: **Definition:** One text segment expands on the other by specifying it in greater detail or specifying it in other words, according to one of the following ways:

- $\bullet \ set{-member}$
- $\bullet \ process-step$
- part-whole
- object-attribute
- abstract-instance
- general-specific
- restatement

2.1.2 The Relation CONCESSION

In this subsection we merge several sources' relations, all with different labels, into into one relation.

Hobbs 90:

Name: VIOLATED EXPECTATION

Definition: Infer P from the assertion S0 and not-P from the assertion S1.

Example:

- The paper is weak,
- but it is interesting.

From Hobbs's explanation, it becomes clear that the concessive meaning of the relation is meant: the reader makes assumptions about one of the propositions or text segments which are violated by what is said in the other segment.

Ivir et al. 80:

Name: CONTRADICTION

Definition: The Relator [the discourse structure relation] implies that S2 is not [an] expected consequence of S1.

Example:

- 1. He is not polite,
- 2. but I like him.

Again, both the definition and the example imply a concessive meaning. The same is the case in the definition Dahlgren gives for her QUALIFICATION relation:

Dahlgren 88:

Name: QUALIFICATION

Definition: A qualification denies one of the implications of the event or state expressed by the other clause. The main clause in the relation qualifies the "though" clause.

Example:

- 1. Though Levine pleaded for sympathy,
- 2. the judge was unmoved.

Sanders et al. 92: Sanders et al. develop four basic parameters and define all their relations in terms of the parameter values. The parameters are:

- relation type: either additive or causal;
- pragmatic: specifying whether the relation conveys some illocutionary meaning;
- basic order: indicating a preferred sequence for the text segments;
- polarity: indicating whether one of the segments is negative or not.

Their relation NEGATIVE ARGUMENT - CLAIM is defined as follows:

Name: Negative Argument - Claim

Definition: causal, +basic-order, +pragmatic, -polarity

Example:

- 1. Although it is not exactly shouted from the rooftops,
- 2. you will have to take into account that sharks may occur along the Yugoslavian coast.

From these and similar definitions, we create the CONCESSION relation, defined as:

Definition: One of the text segments raises expectations which are contradicted / violated by the other.

2.2 Correctness of the Relations

One question always asked about efforts of this type: What guarantee exists that the relations collected and merged here are indeed the "right" ones? Or the only ones? It is not difficult to come up with relations that differ in some way from those in the Appendix and that do not neatly fall under a single item in the taxonomy shown in the next section.

This is a standard objection to *any* set of terms proposed to fulfill some function. The standard response holds here too: there is no guarantee that these are the "right" relations, whatever "right" may mean². The particular relations proposed here are certainly open to question, but their strongest support is that they are a synthesis of the terms proposed in over 30 different investigations from different fields. The possibility always exists that new interclausal relations will be needed that cannot be subsumed under existing nodes in the taxonomy, though we believe this to be unlikely, based on our experience in compiling the hierarchy: halfway through this study, the topmost tiers had essentially been established, and almost all new relations found were simply specializations of existing ones. We expect that when new domains are investigated, the hierarchy will grow primarily at the bottom, and that the ratio of the number of relations added at one level to the number of relations added at the next lower level will be low, for all levels. In addition, as has been mentioned before, there is mounting evidence from actual attempts at constructing working systems (text planners and discourse analyzers) that intersegment relations of this type are required to guide inference and planning processes.

The collected relations are listed in the Appendix. We next turn to the question of taxonomizing them.

2.3 Organizing the Relations

Given the semantic overlaps of many of the relations, it was soon clear that they could be taxonomized somehow. The most informative taxonomization was a traditional two-dimensional hierarchic organization of increasing semantic specificity, with one dimension constrained in the number of relations and the other unconstrained (thus the more general a relation is, the higher it is in the hierarchy, while the more a relation is specified to distinguish it from others, the more its semantics are enhanced, and the lower it appears in the hierarchy).

Here an objection raised by the Parsimonious Position applies: The taxonomy, being unbounded toward the bottom, places one on the slippery slope toward having to deal with the full

²Similarly, there is no guarantee that the terms VERB, NOUN, ADJECTIVE, ADVERB, etc. are the "right" and "only" labels for types of words; they have simply been canonized by long use and much experience. Other terms may appear more natural in other languages, such as in languages that make no syntactic or morphological distinction between nouns and adjectives.

complexity of semantics. Simply working on the structure of discourse is difficult enough without bringing in the complexity of semantic knowledge. The response: There is no reason to fear the complexity of an unbounded set of terms, whether semantic or not, as long as the terms are well-behaved and subject to a pattern of organization which makes them manageable. A taxonomization of the terms in which all the pertinent information about discoursal behavior is captured near the top (which is maximally general, bounded, and well-understood) and not at the bottom (which permits unboundedness and redundancy) presents no threat to computational processing. Each discourse relation simply inherits from its ancestors all necessary processing information, such as cue words and realization constraints, and adds its unique peculiarities, to be used for inference (in parsing) or for planning out a discourse (in generation). Increasing differentiation of relations, continued until the very finest nuances of meaning are separately represented, need be pursued only to the extent required for any given application. Thus "unbounded" growth of semantic relations is not a problem, as long as they can be subsumed under existing nodes in the taxonomy.

The top tier of the hierarchy presented the most serious problems. A top-level organization ideally should satisfactorily reconcile the Parsimonious and Profligate positions and make possible the most constrained and yet predictive theory of discourse structure relations, thereby enabling the clearest generalizations. However, attempts to taxonomize all the relations under DOMI-NATES and SATISFACTION PRECEDES or under Halliday's three top-level relations ELABORATION, ENHANCEMENT, and EXTENSION both failed, proving either unworkable or not informative enough (see [Hovy 90b]).

Recent work in computational discourse analysis and generation increasingly suggests that several parallel and non-isomorphic structural analyses should be given for a discourse at the clause level and upward: [Moore & Pollack 93] argue for the differentiation of semantic and intentional information into two distinct discourse structures, [Redeker 93] and [Lambert & Carberry 91] each propose different triple parallel analyses of discourse structure, and [Hovy 93] names four different perspectives at the clause level and above that require a distinct structure.

In line with such arguments, and following our text planning experience with relations from Rhetorical Structure Theory [Mann & Thompson 88, Mann & Thompson 86], as reported among others in [Hovy 88, Hovy 90a, Maier & Brown 90, Hovy et al. 92], we decided that a functional perspective is the most illuminating to take. We therefore partitioned the relations into three broad groups according to which primary function they perform in text. (A similar subcategorization strategy was discussed in [Mann & Thompson 88]). The three functions themselves are motivated by Halliday's subcategorization of linguistic phenomena into three so-called metafunctions *ideational* (i.e., semantic), *interpersonal* (i.e., author- and/or addressee-related), and *textual* (i.e., presentational) [Halliday 85]. As described below, semantic information such as causality, generalization, class membership, temporal sequentiality, etc., is expressed by ideational relations; interpersonal relations express the author's communicative goals such as to describe, motivate, explain, etc.; and textual relations are used to form the discourse into a coherent whole, determining pronouns and other anaphora usage or linearizing sequences of topics.

The taxonomy under this three-way subcategorization is given in Figure 1. The number associated with each relation indicates the number of different researchers who have listed the relation and may be interpreted as a vote of confidence in it.

In this section we motivate the top-level classification into three parts by appealing to factors central to text planning: the types of information required to define and use the relations and the resulting types of illocutionary and perlocutionary effects that the relations have in the discourse.

2.3.1 Ideational Relations

We define ideational (i.e., semantic) relations between adjacent segments of material as those relations that express some experience of the world about us and within our imagination. This knowledge is of course shared by but not limited to the discourse interlocutors.

We have classified the ideational relations, such as ELABORATION and its various subtypes, SEQUENCE, CIRCUMSTANCE, CONTRAST, etc. (see Figure 1), together, since they are all defined with respect to their semantic properties. For example:

"Ben poured coffee into the cup. When next he looked, he saw that it had been drunk."

The sequential relationship between the two clauses is cued by the word "when" and by the referential identification of "Ben" with "he" and "coffee" with "it". The temporal (semantic) sequentiality of the second clause after the first is given by the fact that Ben's discovery could only occur *after* he poured the coffee into the cup. The interclausal relation SEQUENCE must be specified in terms of the underlying temporal relationship between the events mentioned in the two clauses — a semantic fact about the world.

Given their semantic nature, the use of ideational relations can be determined by means of operations on a knowledge base in a computer. In many instances, relations can be mapped onto knowledge base constructs; for example, the GENERAL-SPECIFIC subtype of ELABORATION can be mapped onto IS-A or CONCEPT-INSTANCE links in conventional knowledge representation formalisms. No explicit reference to a user model or any other external source of knowledge is generally required.

2.3.2 Interpersonal Relations

We define interpersonal relations as holding between adjacent segments of textual material by which the author attempts to affect the addressee's beliefs, attitudes, desires, etc., by means Figure 1: A taxonomy of discourse segment relations. The number associated with each relation indicates the number of different researchers who listed the relation and may be interpreted as a vote of confidence in it.

| | | | ObjectAttribute (9) | |
|-------------------|-----------------------|-----------------------|-----------------------|--------------|
| | | ElabObject (1) | ObjectFunction (3) | |
| | | | Set-Member (3) | |
| | | ElabPart | Process-Step (5) | |
| | Elaboration (12) | | Whole-Part (8) | |
| | | ElabGenerality | Genl-Specific (15) | |
| | | | ABSTR-INSTANCE (14) | |
| | | Identification (10) | | |
| | | Restatement (11) | SUMMARY (4) | |
| | | Location (6) | | |
| | | TIME (8) | | |
| | | MEANS (4) | | |
| | Circumstance (4) | MANNER (4) | | |
| | | INSTRUMENT (1) | | |
| | | PARALLELEVENT (3) | | |
| | | SEQTEMPORAL (6) | | |
| | SEQUENCE (6) | SEQSPATIAL (1) | | |
| | | SeqOrdinal (3) | | |
| Ideational (1) | | | VolCause (1) | |
| | | C/RVol (1) | VolResult (2) | |
| | CAUSE/RESULT (17) | C/RNonVol (1) | NonVolCause (1) | |
| | | Purpose (8) | NonVolResult (2) | |
| | | Condition (9) | | |
| | GeneralCondition (1) | Exception (3) | | |
| | | Equative (6) | | |
| | | Contrast (16) | | |
| | Comparative (1) | OTHERWISE (8) | | |
| | | Comparison (3) | | |
| | | Analogy (4) | | |
| | | | | |
| Interpersonal (1) | Interpretation (3) | EVALUATION (3) | | |
| | Enablement (10) | BACKGROUND (4) | | |
| | Antithesis (7) | | Solutionhood (1) | Answer (1) |
| | | Support (2) | EVIDENCE (10) | Proof (1) |
| | EXHORTATION | Concession (7) | JUSTIFICATION (4) | |
| | | QUALIFICATION (2) | Motivation (7) | |
| | Logical Relation | Conjunction (6) | | |
| Textual (2) | PresentationalSeq (1) | DISJUNCTION (3) | | |
| × / | JOIN (7) | × / | | |
| | 15 | | | |

of language. The perlocutionary effects achieved by these relations are convincing, enabling, motivating, giving evidence, interpreting and evaluating.

We found that relations such as MOTIVATION, JUSTIFICATION, ANTITHESIS, all necessarily involve in their definitions the addressee's knowledge, beliefs, or attitudes toward the propositional content of the text. For example,

"The new Tech Report abstracts are now in the journal area of the library near the abridged dictionary. Please sign your name by any that you would be interested in seeing." (from [Mann & Thompson 88])

The enabling relation that holds between the two sentences concerns the addressee's knowledge and desire to express his or her interests in certain Tech Reports. It is not possible to define the interclausal relationship used without reference to the addressee. This essential aspect of interpersonal relations is reflected in the Mann and Thompson's definitions (*ibid.*) of, say,

• EVIDENCE:

The reader's comprehending the satellite increases his belief of the nucleus.

• MOTIVATION: Comprehending the satellite increases the reader's desire to perform the action presented in the nucleus.

Other interpersonal relations, such as INTERPRETATION and EVALUATION, must be defined in terms of the goals and intentions of the author.

Since the use of interpersonal relations is predicated mainly on the interests, beliefs, and attitudes of the addressee and/or author, relations of this type are usually defined in a computer system with respect to a user model.

2.3.3 Textual Relations

We define textual (i.e., presentational) relations as holding between adjacent segments of text that are not meant to be directly related ideationally or interpersonally, but whose relationship exists solely due to the juxtaposition imposed by the nature of the presentation medium.

Typically, the "linear" nature of language enforces the use of relations for presentational purposes; examples are CONJUNCTION and PRESENTATIONALSEQ. For example, the latter is used as follows:

"There are a number of criteria for distinguishing Ranges from Goals: First, the Range cannot be probed by do to or do with, whereas the Goal can. Second, since nothing is being 'done to' it, a Range element never can have a resultative Attribute added within the clause, as a Goal can... Next, the Range cannot be a personal pronoun, and it cannot normally be modified by a possessive. Finally, a range element (other than one with an 'empty' verb like have or do) can often be realized as a prepositional phrase and under certain conditions it has to be....

(from [Martin 92], with text formatting removed. The semantics of text formatting instructions and their relationship to intersegment relations is discussed in [Hovy & Arens 90].)

The text makes no claim about the semantic orderedness of the sentences enumerated; these clauses could have appeared in any order.

Most collections of intersegment discourse relations indiscriminately intermix explicitly presentational relations with ideational and interpersonal ones. This, we believe, is due to the fact that *all* intersegment relations play some presentational role in text, which causes a certain amount of confusion. However, for most relations the presentational function is not primary, and when one is aware of this distinction, the problem is greatly reduced. One major remaining source of difficulty is the SEQUENCE family, since in English the same cue words and other textual markers are used to signal presentational sequence as semantic sequence. We solve the problem by creating the purely textual relation PRESENTATIONALSEQ.

A further reason for distinguishing the three classes is their difference in illocutionary force. All the ideational relations are expressed by the single illocutionary act DESCRIBE, while the interpersonal relations are expressed by various perlocutionary acts, including CONVINCE, MOTIVATE, and JUSTIFY. The consequences of this difference on the design of text planning systems are outlined in [Maier & Hovy 92].

2.4 Suggestive Evidence for the Structure of Lower Levels of the Taxonomy

Some nonconclusive evidence supports our organization of the lower portions of the hierarchy, though further study must be done to examine all the relations. This evidence is based on a sensitivity to generalization evinced by many cue words and phrases and syntactic realizations. For example, the cue word "then" is associated with SEQUENCE, and can be used appropriately to indicate its subordinates SEQTEMPORAL and SEQSPATIAL, as in:

SEQTEMPORAL: "First you play the long note, then the short ones" SEQSPATIAL: "On the wall I have a red picture, then a blue one"

In contrast, the cue words for the two subrelations are specific and cannot be interchanged without introducing the associated connotation:

SEQTEMPORAL: "After the long note you play the short ones" SEQSPATIAL: "Beside the red picture is the blue one" Thus the relation associated with "then" subsumes the relations associated with "after" and "beside", mirroring the structure of the taxonomy. Similar observations hold for a number of the relations, including SOLUTIONHOOD and RESTATEMENT.

Preliminary investigation indicates possible additional evidence in the syntactic realization of some relations: When a relation typically gives rise to a dependent clause, then its subrelations tend to do so as well. This surmise requires study by linguists and is given here as a suggestion. (As is illustrated by the work of [Martin 92], syntactic commonalities between relations typically occur toward the fringes of our taxonomization rather than toward the top.)

3 Conclusion

A rather gratifying result of the synthesis presented here is that a relatively small number of core relations, organized into three principal types, suffice to cover essentially all types of clause-level intersegment relations proposed by the sources. This suggests that other relations not yet in the hierarchy are likely to be subtypes of relations already in it, preserving the boundedness of the number of relation types.

While we do not claim that discourse structure relations of the type presented in this paper suffice to capture all aspects of discourse structure, we believe that the relations are a necessary part of any structural description of coherent discourse. The author's intentions, decomposed into the purpose of each discourse segment and related using interpersonal relations, co-direct the formation of the discourse together with the semantic material and their ideational relations. The surface form of the discourse is captured in a presentationally oriented discourse structure in which textual relations figure. Any account of discourse structure that ignores these types of intersegment relations is incomplete in an important way.

While some evidence is provided for the structure of the hierarchy, we make no claim that this taxonomy is complete or correct in all details. It is certainly open to elaboration, enhancement, and extension! Our hope is that it will serve the community by providing a common starting point and straw man for future work on discourse structure.

4 Acknowledgments

Thanks to John Bateman, Marcus Brown, Robin Cohen, Robert Dale, Christian Matthiessen, Kathleen McCoy, Kathleen McKeown, Johanna Moore, Mick O'Donnell, Cécile Paris, Gisela Redeker, Ted Sanders, Wilbert Spooren, to several anonymous reviewers, and to everyone who sent us their relations. We are still collecting relations and continuing to update the taxonomy...a task like this is never completed.

5 Appendix

The discourse structure relations taxonomized in Figure 1 was drawn from the following sources (the researchers, identified by initials, are listed after the table. In the parenthesized comments, A stands for author and R for reader):

| Ideational | МН |
|---|---|
| Elaboration | MT, JH, JG, MP, GH, BF, KD, DSN, QG, MH, IMM, LA |
| Elab-Object | IMM |
| Object-Attribute | MT, HI, HL, KM, LP, JG, MP, MM, MH |
| Object-Function | HL, KM, MP |
| ElabPart | |
| Set-Member | MT, KM, JG |
| Process-Step | MT, HP, HI, MP, DL |
| Whole-Part | MT, HI, HL, KM, JG, MP, AC, DL |
| Elab-Generality | |
| General-Specific | MT, HP, JH, KM, JG, TNR, HS, MP, KD, AC, NS, RC, QG, MH, IMM |
| Abstract-Instance | MT, HP, JH, KM, LP, TNR, JG, HS, MP, MM, RC, QG, MH, IMM |
| Identification | KM, JG, HS, MP, KD, AC, MM, QG, ST, RJ |
| Restatement | MT, KM, KD, DSN, NS, RR, RC, QG, MH, WL, IMM |
| Conclusion (interp at end) | KM, JG, HS, KD, RR, RC, QG |
| Summary (short restatement | t)MT, DSN, RC, QG |
| Circumstance | MT, JG, DSN, QG |
| Location | HI, HL, KD, QG, RJ, MH |
| Time | HI, HL, TNR, KD, QG, RJ, MH, IMM |
| Means | MP, QG, ST, MH |
| Manner | QG, MH, IMM, SSN |
| Instrument | QG |
| Parallel-Event | KD, QG, RJ |
| Sequence | MT, JH, LP, KD, DSN, RC |
| Seq - $\operatorname{Tem}\operatorname{poral}$ | HI, HP, LP, DL, NS, MH |
| Seq-Spatial | NS |
| Seq-Ordinal | LP, DSN, QG |
| Cause/Result | JH, KM, TNR, JG, GH, KD, LP, RL, RR, RC, QG, RJ, SA, MH, LA, IMM, SSN |
| C/RVol (volitional) | IMM |
| Vol-Cause | MT |
| Vol-Result | MT, WL |
| C/RNonvol (nonvolitional) | IMM |
| NonVol-Cause | MT |
| NonVol-Result | MT, MP |
| Purpose | MT, HP, KD, QG, SA, MH, IMM, SSN |
| General-Condition | IMM |
| Condition | MT, JG, LP, RL, DL, RC, MH, IMM, SSN |
| Exception | RL, MH, SSN |
| Comparative | IMM |

| Equative (like, while) Contrast Otherwise (if then else) Comparison Analogy | JG, TNR, DL, QG, MH, IMM MT, JH, LP, IR, TNR, MP, RL, GH, BF, KD, NS, DSN, RC, QG, WL, IMM MT, LP, NS, RL, RC, QG, MH, IMM KM, HS, MH KM, JG, MP, RR |
|---|--|
| Interpersonal | МН |
| Interpretation | MT, KD, IMM |
| Evaluation (A opinion) | MT, KD, JH |
| Enablement | MT, JH, HL, TNR, MP, KD, DSN, DL, SA, LA |
| Background | MT, JH, HL, MP |
| Antithesis | MT, DSN, JG, HS, KM, QG, SSN |
| Exhortation | |
| Support | RR, RC |
| Solutionhood (general prob) | MT |
| Answer (numeric prob) | KM |
| Evidence (support claim) | MT, KM, JG, MP, BF, KD, ST, WL, IMM, SSN |
| Proof | MP |
| Justification (for A act) | MT, IR, DL, WL |
| Motivation (for R act) | MT, MP, DSN, DL, MM, IMM, SSN |
| Concession | MT, DSN, KD, RR, IMM, QG, MH |
| Qualification | ST, IMM |
| Textual | MH, IMM |
| Logical-Relation | |
| Conjunction | MT, DSN, RC, QG, MH, IMM |
| Disjunction | QG, MH, IMM |
| Pres-Sequence | IMM |
| Joint | KM, RC, KD, GH, JH, MT, IMM |
| | |

(Note: Not all relations of QG and RJ are interclausal; some are intraclausal.)

In order to facilitate further investigations of relation definitions, we provide here our crossclassification of our sources' relations (in the left-hand column) and the corresponding relation from our taxonomization (Figure 1).

| | | III C' | |
|----------------------------|-----------------------------|---|------------------------------|
| AC: [Cawsey 90] | | HI:Circumstance | Time, Location |
| AC:HowItWorks | (script) | HI:Attribute | ObjctAttrib, Wholepart |
| AC:WhatItDoes | (script) | HI:Details | ProcessStep |
| AC:Identification | Identificatio | HL:Description | (script) |
| AC:Constituency | WholePart | HL:Access | (script) |
| AC:ComponentIdentfctn | WholePart, Identification | HL:Features | (script $)$ |
| AC:ParticularBehaviour | GeneralSpecific | HL:Open | Enablement (sub) |
| | | HL:Cost | Enablement (sub) |
| BF: [Fox 84] | | HL:IntFeature | ObjectAttribute |
| BF:Issue | Elaboration | HL:History | Background (sub) |
| BF:Contrast | Contrast | HL:ElabPartWhole | Wholepart |
| BF:Evidence | Evidence | HL:ElabDetails | ObjctAttrib, ObjctFnctn |
| BF:Elaboration | Elaboration | HL:CircumstanceLoc | Location |
| | | HL:CircumstanceTime | Time |
| DL: [Litman 85] | | HP:Sequence | SeqTemporal |
| DL:Step | ProcessStep | HP:Purpose | Purpose |
| DL:After | SeqTemporal | HP:Elaboration | GeneralSpecific, |
| DL:Next | SeqTemporal | | AbstractInstance, |
| DL:Contains | $Whole Part,\ Process Step$ | | $\operatorname{ProcessStep}$ |
| DL:Motivates | Motivation, Justification | | |
| DL:Enables | Enablement | HS: [Shepherd 26] | |
| DL:Equal | Comparison | HS:Comparison | Comparison |
| DL:Parameter | WholePart (sub) | $\operatorname{HS:IllustrationGeneral}$ | AbstractInstance |
| DL:Condition | Condition | HS:Amplification | GeneralSpecific |
| | | HS:Conclusion | Conclusion |
| DSN: [De Souza et al. 89] | | HS:Topic | Identification |
| DSN:Antithesis | Antithesis | HS: Illustration PartIr | AbstractInstance |
| DSN:Summary | Summary | HS:Contrasting | Antithesis |
| DSN:Restatement | Restatement | | |
| DSN:List | SeqOrdinal (sub) | IMM: [Ivir et al. 80] | |
| DSN:Concession | Concession | IMM:Conjunction | Conjunction |
| DSN:Circumstance | Circumstance | IMM:Additive | |
| DSN:Elaboration | Elaboration | IMM:Additive-smpl | Conjunction (sub) |
| DSN:Contrast | Contrast | IMM:Additive-emph | Conjunction (sub) |
| DSN:Joint | Joint | IMM:Converse | Contrast (sub) |
| DSN:Sequence | Sequence | IMM:Disjunction | Disjunction |
| DSN:MotivatnEnablmnt | Motivation, Enablement | IMM:Simple-Disjnctn | Disjunction |
| | | IMM:Replacive | Otherwise |
| GH: [Hirst 81] | | IMM:Reformulation | Elaboration |
| GH:Cause | Cause | ${ m IMM:}$ Illustrative | GeneralSpecific |
| GH:Parallel | Parallel (other) | IMM:I.e. | AbstractInstance |
| GH:Contrast | Contrast | IMM:Concise-refmltn | Equative |
| GH:Elaboration | Elaboration | ${ m IMM}: { m Preferred}-rfmltn$ | Restatement (sub) |
| | | IMM:Contradictn-Contrst | Comparative |
| HI, HL, HP: [Hovy 90a, Hov | y 89, Hovy 88] | IMM:Contradiction | Concession |
| HI:Sequence | SeqTemporal | IMM:Opposing-factors | Contrast |
| | | | |

IMM:Concessive IMM:Contradict-rlty IMM:Contrary IMM:Contrast IMM:Contrastive-neg IMM:Rhetorical-Links IMM:Serial-Order IMM:Instncs-1-gnlzn IMM:Continuity IMM:Resmptn-theme IMM:Breach IMM:Attitude IMM:Focus-Directing $IMM{:}Gratis{-}Addition$ IMM:Specific-Shift IMM:General-Shift IMM:Retrospective-Ref IMM:Adverbs-as-Reltrs IMM:Causation IMM:Inference IMM:Reason-Simple IMM:Reason-Emph IMM:Exceptional IMM:Purpose IMM:Purpose-pos IMM:Purpose-neg IMM:Result-Cause IMM:Result IMM:Cause IMM:Obvious-Cause IMM:Non-Real-Cause IMM:Contradcty-Cse IMM:Hypoth-Cause IMM:Manner-Causation IMM:Conditionality IMM:Concomitant-Var IMM:Eventlty-Cnsid IMM:Considerative IMM:Condition-Met IMM:Comparative-Deg IMM:Temp-Spat-Cond IMM:Condition-Neg IMM:Condition-Irrl IMM:Conditn-Impsd IMM:Conditn-Imagnd IMM:Cond-Flfmnt-Ad

Concession Concession (sub) Evidence Contrast Contrast Textual Pres-Sequence Joint NextTopic **Previous**Topic (dialogue) Interpretation? ? Evidence General-Specific General-Specific Elab-Object Cause-Result Evidence C/RVol, Nonvol C/RVol, Nonvol C/RVol, Nonvol Purpose Purpose Purpose Cause-Result Cause-Result Cause-Result Cause-Result (sub) Cause-Result (sub) Cause-Result (sub) Cause-Result (sub) Manner General-Condition General-Condition (sub) Condition Qualification Condition Condition (sub) Condition (sub) Qualification Condition (sub)

Condition (sub)

Condition (sub)

Condition (sub)

IMM:Degree-Manner IMM:Degree IMM:Manner IMM:Temporal IMM:Simultaneity IMM:Non-Simultnty IMM:Precedence IMM:Subsequence

IR: [Rankin 89] IR: Justify IR: Alternative

JG: [Grimes 75] JG:Paratactic JG:Hypotactic JG:Supporting JG:Setting JG:Identification JG:Specifically JG:Attributive JG:Equivalent JG:Specification JG:Explanation JG:Evidence JG:Analogy JG:Representative JG:Constituency JG:Covariance JG:Alternatives JG:CauseEffect JG:Adversative

JG:Inference

Comparative Comparative (sub) Comparative (sub) Time Time (sub) Time (sub) Time Time Justification Contrast SatisfactionPrecedin Dominating ? Dominating

SatisfactionPreceding Dominating ? Dominating Circumstance Identification Elaboration ObjectAttribute Restatement GeneralSpecific Cause/Result Evidence Analogy AbstractInstance WholePart, SetMember Condition (sub) Antithesis Cause/Result Antithesis (sub) Conclusion, Cause/Result

JH: [Hobbs 78, Hobbs 79, Hobbs 82, Hobbs 90]

| Sequence (sub) |
|--------------------|
| Enablement |
| Cause |
| Evaluation |
| Background (sub) |
| Background (sub) |
| Cause/Result~(sub) |
| Parallel (other) |
| Elaboration |
| GeneralSpecific |
| AbstractInstance |
| |

| JH:Contrast | Contrast | KM:CauseEffect | Cause/Result |
|---------------------------|-----------------------------|---------------------------------|----------------------------|
| JH:ViolatedExpctatn | Contrast (sub) | KM:IdentifictnDpth | , ObjectAttribute (sub) |
| 1 | | KM:IdentifictnAttr | ObjectAttribute |
| KD: [Dahlgren 88] | | KM:Positing | Identification (sub) |
| KD:Sequence | Sequence | KM:Generalization | GeneralSpecific |
| KD:Reported-Event | Elaboration, Interpretation | | - |
| KD:Enablement | Enablement | LA: [Lascarides & Asher 91] | |
| KD:Cause | Cause | LA:Cause | Cause/Result |
| KD:Goal | Purpose | LA:Elaboration | Elaboration |
| KD:Parallel | Parallel (other) | LA:Background | Background |
| KD:Contrast | Contrast | LA:Result | Cause/Result |
| KD:Evidence | Evidence | | , |
| KD:Generalization | GeneralSpecific | LP: [Polanyi 88] | |
| KD:Elaboration | Elaboration | LP:Sequential | SatisfactionPreceding |
| KD:Restatement | Restatement | LP:Expansion | Dominating |
| KD:Qualification | Concession | LP:Interruption | (dialogue) |
| KD:Evaluation | Evaluation | LP:Binary | Cause/Result, Otherwise, |
| KD:Description | Identification | | Condition |
| KD:Situation | Circumstance | LP:Expansion | ObjectAttribute |
| KD:Situation-Acty | Circumstance (sub) | LP:Sequence | Sequence |
| KD:Situation-Time | Time | LP:Sequence-List | SeqOrdinal |
| KD:Situation-Place | Location | LP:Seqnce-TopicChain | NextTopic (other) |
| KD:Import | Interprettn, Conclsn (sub) | LP:Seqnce-Narrative | SeqTemporal |
| KD:UnbiasedCmnt | Interpretation | LP:Instance | Instance |
| KD:BiasedCmnt | Evaluation | LP:Elaboration | ObjectAttribute |
| | | LP:EvaluativeCmnt | Evaluation |
| KM: [McKeown 85] | | LP:Contrast | Contrast |
| KM:Identification | (script) | | |
| KM:Constituency | (script) | MH: [Halliday 85] | |
| KM:Attributive | (script) | MH:Elaboration | |
| ${ m KM:CompareContrast}$ | (script $)$ | MH:Exposition | Restatement |
| KM:Attributive | ObjectAttribute | ${ m MH}: { m Exemplification}$ | GenlSpec, AbstInstnce |
| KM:Amplification | ObjectAttribute (sub) | ${ m M} m H$:Clarification | ObjectAttribute (sub) |
| KM: IllustratnPrtclr | AbstractInstance | MH:Extension | |
| KM: Representative | AbstractInstance (sub) | MH:Addition | |
| KM:Answer | Answer | MH:Additive | Conjunction |
| KM:Comparison | Comparison | ${ m MH}: { m Adversative}$ | Conjunction (sub, neg) |
| KM:Adversative | Antithesis | MH:Variation | |
| KM:Explanation | Cause/Result (sub) | MH:Replacive | Otherwise |
| KM:Inference | Conclsn, Cause/Rslt (sub) | MH:Subtractive | Exception |
| KM:Identificatn-Class | Identification | MH:Alternative | Disjunction |
| KM:Identificatn-Fnctn | ObjectFunction | MH:Enhancement | |
| KM:Analogy | Analogy | MH:Temporal | |
| KM:Constituency | $Whole Part, \ Set Member$ | MH:SameTime | Equative (sub) |
| KM:Renaming | Restatement (sub) | MH:DiffntTime | SeqTemporal |
| KM:Evidence | Evidence | MH:Spatial | Location |

| MH:Manner | | MP:Process-Step | ProcessStep |
|---------------------------|-----------------------|-------------------------|------------------------------|
| ${ m MH}$: Means | Means, Manner | MP:Object-Attr | ObjectAttribute |
| MH:Comparison | Comparison | MP:Concept-Ex | AbstractInstance |
| MH:Causal | | MP:WholePart | WholePart |
| MH:Reason | Cause/Result | MP:Background | Background |
| MH:Purpose | Purpose | MP:Backgrnd-Def | Background (sub) |
| MH:ConditionPos | Condition | MP:Backgrnd-Sub | Background (sub) |
| MH:ConditionNeg | Condition (sub: neg) | MP:Evidence | Evidence |
| MH:Concessive | Concession | MP:Contrast | Contrast |
| MM: [Maybury 90] | | MP:Abstraction | GeneralSpecific |
| MM:Identification | Identification | MP:Consequence | NonVolResult |
| MM:SupptCharstic | ObjectAttribute | | |
| MM:SupportClassify | AbstractInstance | MT: [Mann & Thompson 88 | , Mann & Thompson 86] |
| MM:Recommend | Motivation | MT:Sequence | Sequence |
| | | MT:Cause/Result | Cause/Result |
| MP: [Moore 89, Moore & Sy | wartout 90, Paris 90] | MT:VolCause | VolitionalCause |
| MP:RcmndEnablMtvt | (script $)$ | MT:VolResult | VolitionalResult |
| MP:MakeComptnt | Enablement | MT:NonVolCause | NonVolitionalCause |
| MP:Persuade | Motivation | MT:NonVolResult | NonVolitionalResult |
| MP:PrsByMot | Motivation | MT:Purpose | Purpose |
| MP:ElbPrcStp | ProcessStep | MT:Enablement | Enablement |
| MP:PrsInstOf | AbstractInstance | MT:Solutionhood | Solutionhood |
| MP:EvdInstOf | AbstractInst, Evdnce | MT:Restatement | Restatement |
| MP:ProveResult | Proof | MT:Summary | Summary |
| MP:ElabGenSpStp | GeneralSpecific | MT:Contrast | Constrast |
| MP:InfmAndPersde | (script $)$ | MT:Antithesis | Antithesis |
| MP:Contrast | Contrast | MT:Otherwise | Otherwise |
| MP:Differences | Contrast | MT:Condition | Condition |
| MP:Difference | Contrast | MT:Joint | Conjunction |
| MP:Describe | (script $)$ | MT:Circumstance | Circumstance |
| MP:ClsAsc&Rls | Identification | MT:Elaboration | Elaboration |
| MP:Generalize | GeneralSpecific | MT:Elab-ObjAttr | ObjectAttribute |
| MP:Instance | AbstractInstance | MT:Elab-SetMemb | $\operatorname{SetMember}$ |
| MP:Analogy | Analogy | MT:Elab-WhlePrt | WholePart |
| MP:Part | WholePart | MT:Elab-ProcStep | $\operatorname{ProcessStep}$ |
| MP:Use | ObjectFunction | MT:Elab-GenlSpec | GeneralSpecific |
| MP:Proof | Proof | MT:Elab-AbstInst | AbstractInstance |
| MP:PrfModusPns | Proof (sub) | MT:Evidence | Evidence |
| MP:ProofByMeans | Proof (sub) | MT:Justification | Justification |
| MP:Motivation | Motivation | MT:Motivation | Motivation |
| MP:MotReplAct | Motivation (sub) | MT:Concession | Concession |
| MP:MotAct | Motivation | MT:Interpretation | Interpretation |
| MP:MotActByMns | Motivation (sub) | MT:Evaluation | Evaluation |
| MP:Means | Means | MT:Background | Background |
| MP:Elaboration | Elaboration | | |
| MP:General-Spec | GeneralSpecific | NS: [Simonin 88] | |

| NS:Contrast | $\operatorname{Contrast}$ | QG:Identification | Identification |
|-------------------------------|---------------------------|-------------------------------|--------------------------|
| NS:Restatement | Restatement | QG:Reformulation | Restatement |
| NS:Restriction | Otherwise | QG:Attribution | Elaboration |
| NS:SpatialOrder | SeqSpatial | QG:Inclusion | GenlSpec, AbstInst |
| NS:TemporalOrder | SeqTemporal | 3: - some types of adjuncts - | |
| NS:GeneralSpecific | GeneralSpecific | QG:Place | Location |
| | | QG:Position | Location (sub) |
| QG: [Quirk & Greenbaum 73 | - | QG:Direction | Location (sub) |
| Note — not all these are inte | erclausal | QG:Time | Time |
| 1: – interclausal relations – | | QG:When | Time (sub) |
| QG:Time | Time | QG:Duration | Time (sub) |
| QG:Ordinals | SeqOrdinal | QG:Frequency | Time (sub) |
| QG:Place | Location | QG:Relational | Equative (sub) |
| QG:And | Conjunction | QG:Process | Circumstance |
| QG:Enumeration | SeqOrdinal | QG:Means | Means |
| QG:Addition | Conjunction | QG:Instrument | Instrument |
| QG:Transition | NextTopic (other) | QG:Manner | Manner |
| QG:Summation | Summary | QG:Other | |
| QG:Apposition | Restatement | QG:Purpose | Purpose |
| QG:Result | Cause/Result | QG:Result, Cause | Cause/Result |
| QG:Inference | Conclusion, Cause/Result | | |
| QG:OrRefmlnRplmnt | Disjunction, Restatement | RC: [Cohen 83] | |
| QG:But | Otherwise | RC :Parallel | Sequence, Condition, |
| QG:Contrast | Contrast | | Conjunction, Parallel |
| QG:Concession | Concession | RC:Summary | Summary |
| QG:ConcessionNml | Concession | RC: Reformulation | Restatement |
| QG:ConcessionPrt | Concession | $\operatorname{RC:Detail}$ | GenlSpec, AbstInst |
| QG:ConcessionNom | Concession | RC:Inference | Cause/Result, Concl |
| QG:For | Cause/Result, Conclusion | $\operatorname{RC:Contrast}$ | Contrast, Otherwise |
| 2: - intraclausal conjuncts - | | RC:EvidenceSupport | Support |
| QG:Enumerative | SeqOrdinal | RC:Claim | Identification (sub) |
| QG:Reinforcing | Conjunction (sub) | | |
| QG:Equative | Conjunction (sub) | RJ: [Jackendoff 83] | |
| QG:Transitional | NextTopic (other) | Note — Not all of these are : | interclausal |
| QG:Summative | Conclusion | RJ:SpatialLocMotion | Circumstance |
| QG:Apposition | Restatement | ${ m RJ:SpatialLocation}$ | Location |
| QG:Result | Cause/Result | RJ:Causative | Cause/Result |
| QG:Inferential | Conclusion, Cause/Result | RJ:Temporal | Time |
| QG:Reformulatory | Restatement (sub) | RJ:Possessive | ? (not interclausal) |
| QG:Replacive | Otherwise | RJ:Identificational | Identification |
| QG:Antithetic | Antithesis | RJ:Circumstantial | ParallelEvent |
| QG:Concessive | Concession | RJ:Existential | ? (not interclausal) |
| QG:TemporalTrnsitn | Circumstance (sub) | | |
| QG: - apposition in noun ph | irases – | RL: [Longacre 76] | |
| QG:Appellation | Identification (sub) | RL:Exception | Exception |
| QG:Designation | Ident (sub), Restmnt | RL:BinaryParagraph | Cause/Result, Otherwise, |
| | | | |

Condition

ST:PossibleRebuttals

RR: [Reichman 78] RR:Support RR:RestmntCnclsn RR:Concession RR:Analogy RR:TextDevelopment RR:Interruption RR:RetnToPrevTopic RR:IndrectChallnge RR:DirectChallenge RR:PriorLgclAbstrn

SA: [Schank & Abelson 77] SA:Result SA:Enable SA:Initiate SA:ReasonFor SA:Disable

SSN: [Sanders et al. 92] SSN:Cause-Conseq SSN:Contr-Cse-Consq SSN:Conseq-Cause SSN:Contr-Consq-CseSSN:Argument-Claim SSN:Instrument-Goal SSN:Condition-Consq SSN:Contr-Arg-Claim SSN:Claim-Argument SSN:Goal-Instrument SSN:Conseq-Conditn SSN:Contr-Clm-Arg SSN:List SSN:Exception SSN:Opposition SSN:Enumeration SSN:Concession

- ST: [Toulmin 58] ST:Claim ST:Data
 - ST:Warrant Means ST:Backing Evidence ST:ModalQualification Qualific

Support, Cause/Result Restatement, Conclusion Concession Analogy NextTopic (other) (dialogue) PreviousTopic (other) (dialogue) (dialogue) PrevTopic (other) (sub)

Cause/Result Enablement Cause/Result (sub) Purpose ?

Cause/Result Exception, Antithesis ? Cause/Result Exception, Antithesis ? Evidence Purpose, Manner Condition Concession (sub) Evidence Purpose Condition Concession, Antithesis ? Joint Exception Antithesis Joint, Pres-Sequence Concession

Identification (sub) Evidence (sub) Means Evidence (sub) Qualification TNR: [Tucker et al. 86] TNR:Temporal TNR:Condition TNR:Contrastive TNR:Equivalent TNR:Expansion TNR:Generalization TNR:Similar TNR:Digression

WL: [Wu & Lytinen 90] WL:Evidence WL:Justification WL:Elaboration WL:Contrast WL:Restatement WL:Volitional-Result Time Cause/Result, Enablement (sub) Contrast Restatement (sub) AbstractInstance GeneralSpecific Restatement (dialogue)

Evidence Justification Elaboration Contrast Restatement VolitionalResult

27

Qualification (sub)

References

- [Arens et al. 88] Arens, Y., Miller, L., Shapiro, S.C., & Sondheimer, N.K. (1988). Automatic Construction of User-Interface Displays. *Proceedings of the 7th AAAI Conference*, St. Paul. Also available as USC/Information Sciences Institute Research Report RR-88-218.
- [Aristotle] Aristotle. The Rhetoric. Translation in *The Rhetoric and the Poetics of Aristotle*, W. Rhys Roberts (trans), 1954, New York: Random House.
- [Asher 93] Asher, N. (1993). Reference to Abstract Objects in Discourse. Boston: Kluwer Academic Press.
- [Birnbaum et al. 80] Birnbaum, L.A., Flowers, M., & McGuire, R. (1980). Towards an AI Model of Argumentation. Proceedings of the 1st AAAI Conference, Stanford, 195-198.
- [Björklund & Virtanen 89] Björklund, M. & Virtanen, T. (1989). Variation in Narrative Structure: A Simple Text vs. an Innovative Work of Art. Presented at the 16th International Systemics Congress, Helsinki.
- [Cawsey 90] Cawsey, A. (1990). Generating Communicative Discourse. In R. Dale, C. Mellish, and M. Zock (Eds.), Current Research in Natural Language Generation. Boston: Academic Press, 75-102.
- [Cohen 83] Cohen, R. (1983). A Computational Model for the Analysis of Arguments. Technical Report CSRG-151, University of Toronto.
- [Dahlgren 88] Dahlgren, K. (1988). Naive Semantics for Natural Language Understanding. Boston: Kluwer Academic Press.
- [De Souza et al. 89] De Souza, C.S., Scott, D.R. & Nunes, M.G.V. (1989). Enhancing Text Quality in a Question-Answering System. Unpublished manuscript, Pontificia Universidade Católica de Rio de Janeiro.
- [Dobeš & Novak 92] Dobeš, Z. & Novak, H-J. (1992). From Constituent Planning to Text Planning. In H. Horacek (Ed.), New Concepts in Natural Language Generation: Planning, Realization, and Systems. London: Pinter 155-172.
- [Fox 84] Fox, B. (1984). Discourse Structure and Anaphora in Written and Conversational English. Unpublished doctoral dissertation, University of California in Los Angeles.
- [Gazdar et al. 85] Gazdar, G., Klein, E., Pullum, G.K., & Sag, I.A. (1985). Generalized Phrase Structure Grammar. Cambridge: Harvard University Press.
- [Graesser & Clark 85] Graesser, A. & Clark, L.F. (1985). Structure and Procedures of Implicit Knowledge. London: Ablex.
- [Grimes 75] Grimes, J.E. (1975). The Thread of Discourse. The Hague: Mouton.
- [Gross 84] Gross, M. (1984). Lexicon-grammar and the syntactic analysis of French. Proceedings of the 10th Coling Conference, Stanford, 325-331.

- [Grosz 81] Grosz, B.J. (1981). Focusing and Description in Natural Language Dialogues. In A. Joshi, B. Webber, & I. Sag (Eds.), *Elements of Discourse Understanding*. Cambridge: Cambridge University Press 213–235.
- [Grosz & Sidner 86] Grosz, B.J. & Sidner, C.L. (1986). Attention, Intentions, and the Structure of Discourse. Journal of Computational Linguistics 12(3) 175-204.
- [Halliday 85] Halliday, M.A.K. (1985). An Introduction to Functional Grammar. Baltimore: Edward Arnold Press.
- [Hasan 78] Hasan, R. (1978). Text in the Systemic-Functional Model. In W.U. Dressler (Ed.), Current Trends in Text Linguistics. Berlin and New York: De Gruyter 228-246.
- [Hirschberg & Litman 87] Hirschberg, J. & Litman, D. (1987). Now Let's Talk about Now: Identifying Cue Phrases Intonationally. Proceedings of the 25th ACL Conference, Chicago, 59-64.
- [Hirst 81] Hirst, G. (1981). Discourse-Oriented Anaphora Resolution: A Review. Journal of Computational Linguistics 7 85–98.
- [Hobbs 78] Hobbs, J.R. (1978). Why is Discourse Coherent? Technical Note no. 176, SRI International, Menlo Park.
- [Hobbs 79] Hobbs, J.R. (1979). Coherence and Coreference. Cognitive Science 3(1), 67-90.
- [Hobbs 82] Hobbs, J.R. (1982). Coherence in Discourse. In W.G. Lehnert & M.H. Ringle (Eds.), Strategies for Natural Language Processing. Hillsdale: Lawrence Erlbaum Associates, 223-243.
- [Hobbs 90] Hobbs, J.R. (1990). Literature and Cognition. CSLI Lecture Notes no. 21.
- [Hovy 88] Hovy, E.H. (1988). Planning Coherent Multisentential Text. Proceedings of the 26th ACL Conference, Buffalo, 163-169.
- [Hovy 89] Hovy, E.H. (1989). Notes on Dialogue Management and Text Planning in the LILOG Project. Unpublished working document, Projekt LILOG, Institut f
 ür Wissensbasierte Systeme, IBM Deutschland, Stuttgart.
- [Hovy 90a] Hovy, E.H. (1990). Approaches to the Planning of Coherent Text. In C.L. Paris, W.R. Swartout, & W.C. Mann (Eds.), Natural Language in Artificial Intelligence and Computational Linguistics. Boston: Kluwer, 83-102.
- [Hovy 90b] Hovy, E.H. (1990). Parsimonious and Profligate Approaches to the Question of Discourse Structure Relations. Proceedings of the 5th International Workshop on Text Generation, Pittsburgh, 59-65.
- [Hovy 93] Hovy, E.H. (1993). In Defense of Syntax: Informational, Intentional, and Rhetorical Structures in Discourse. Proceedings of the ACL Workshop on Intentionality and Structure in Discourse Relations, Columbus, 35-39.
- [Hovy & Arens 90] Hovy, E.H. and Arens, Y. (1990). Automatic Generation of Formatted Text. Proceedings of the 8th AAAI Conference, Anaheim, 313-317.

- [Hovy et al. 92] Hovy, E.H., Lavid, J., Maier, E., Mittal, V., and Paris, C.L. (1992). Employing Knowledge Resources in a New Text Planner Architecture. In R. Dale, E.H. Hovy, D. Rösner, & O. Stock (Eds.), Aspects of Automated Natural Language Generation. Heidelberg: Springer Verlag Lecture Notes in AI number 587, 57-72.
- [Ivir et al. 80] Ivir, V., McMillan, D. & Merz, T. (1980). S-Relators. Unpublished manuscript, University of Zagreb.
- [Jackendoff 83] Jackendoff, R. (1983). Semantics and Cognition. Cambridge: MIT Press.
- [Kamp 81] Kamp, H. (1981). A theory of truth and semantic representation. In J.A.G. Groenendijk, T.M.V. Janssen & M.B.J. Stokhof (Eds.), Formal Methods in the Study of Language. Mathematical Centre Tracts (vol 136), Amsterdam, 277-322.
- [Kintsch & Van Dijk 75] Kintsch, W. & Van Dijk, T. (1975). Recalling and Summarizing Stories. Language 40, 98-116.
- [Knott & Dale 93] Knott, A. & Dale, R. (1993). Using Linguistic Phenomena to Motivate a Set of Coherence Relations. *Discourse Processes* (to appear).
- [Lambert & Carberry 91] Lambert, L. & Carberry, S. (1991). A Tripartite Plan-Based Model of Dialogue. Proceedings of the 29th Conference of the Association for Computational Linguistics, Berkeley, 47-54.
- [Lascarides & Asher 91] Lascarides, A. & Asher, N. (1991). Discourse Relations and Defeasible Knowledge. Proceedings of the 29th Conference of the Association for Computational Linguistics, Berkeley, 71-78.
- [Lehnert 82] Lehnert, W.G. (1982). Plot Units: A Narrative Summarization Strategy. In W.G. Lehnert & M.H. Ringle (Eds.), Strategies for Natural Language Processing. Hillsdale: Lawrence Erlbaum Associates, 375-414.
- [Levy 84] Levy, E. (1984). Communicating Thematic Structures in Narrative Discourse: The Use of Referring Terms and Gestures. Unpublished doctoral dissertation, University of Chicago.
- [Litman 85] Litman, D.J. 1985)(. Plan Recognition and Discourse Analysis: An Integrated Approach for Understanding Dialogues. Unpublished doctoral dissertation, University of Rochester.
- [Longacre 76] Longacre, R. (1976). An Anatomy of Speech Notions. Lisse: Peter de Ridder Press.
- [Maier & Brown 90] Maier, E. & Brown, M. 1990)(. A Goal-Oriented Treatment of Text Structures in Text Planning. Unpublished working paper, Arbeitspapiere der GMD no. 484, IPSI, Darmstadt.
- [Maier & Hovy 92] Maier, E. & Hovy, E.H. (1992). Organizing Discourse Structure Relations using Metafunctions. In H. Horacek (Ed.), New Concepts in Natural Language Generation: Planning, Realization, and Systems. London: Pinter, 178-201.
- [Mann & Thompson 86] Mann, W.C. & Thompson, S.A. 1986)(. Rhetorical Structure Theory: Description and Construction of Text Structures. In G. Kempen (Ed.), Natural Language Generation: New Results in Artificial Intelligence, Psychology, and Linguistics. Boston: Kluwer Academic Publishers, 279-300.

- [Mann & Thompson 88] Mann, W.C. & Thompson, S.A. 1988)(. Rhetorical Structure Theory: Toward a Functional Theory of Text Organization. *Text* 8(3), 243–281. Also available as USC/Information Sciences Institute Research Report RR-87-190.
- [Marslen-Wilson et al. 82] Marslen-Wilson, W., Levy, E., & Tyler, L.K. (1982). Producing Interpretable Discourse: The Establishment and Maintenance of Reference. In R.J. Jarvella & W. Klein (Eds.), Speech, Place and Action. New York: John Wiley and Sons, 339–378.
- [Martin 92] Martin, J.R. (1992). English Text: System and Structure. Amsterdam: Benjamins Press.
- [Maybury 90] Maybury, M.T. (1990). Planning Multisentential English Text Using Communicative Acts. Unpublished doctoral dissertation, Cambridge University. Also available as RADC Technical Report 90-411.
- [McKeown 85] McKeown, K.R. (1985). Text Generation: Using Discourse Strategies and Focus Constraints to Generate Natural Language Text. Cambridge: Cambridge University Press.
- [Mel'čuk & Zholkovsky 70] Mel'čuk, I.A. & Zholkovsky, A.K. 1970)(. Towards a Functioning Meaning-Text Model of Language. *Linguistics* 57, 10-47.
- [Moore 89] Moore, J.D. (1989). A Reactive Approach to Explanation in Expert and Advice-Giving Systems. Unpublished doctoral dissertation, University of California in Los Angeles.
- [Moore & Pollack 93] Moore, J.D. & Pollack, M.E. (1993). A Problem for RST: The Need for Multi-Level Discourse Analysis. Squib in *Computational Linguistics* 18 (4), 23-27.
- [Moore & Swartout 90] Moore, J.D. & Swartout, W.R. (1990). Dialogue-Based Explanation. In C.L. Paris, W.R. Swartout & W.C. Mann (Eds.), Natural Language in Artificial Intelligence and Computational Linguistics. Boston: Kluwer, 3-48.
- [Paris 87] Paris, C.L. (1987). The Use of Explicit Models in Text Generation: Tailoring to a User's Level of Expertise. Unpublished doctoral dissertation, Columbia University.
- [Paris 90] Paris, C.L. (1990). Generation and Explanation: Building an Explanation Facility for the Explainable Expert Systems Framework. In C.L. Paris, W.R. Swartout & W.C. Mann (Eds.), Natural Language in Artificial Intelligence and Computational Linguistics. Boston: Kluwer, 49-82.
- [Passoneau 91] Passoneau, R.J. (991.) Getting and keeping the center of attention. In R. Weischedel & M. Bates (Eds.), *Challenges in Natural Language Processing*. Cambridge: Cambridge University Press, 35-38.
- [Passoneau & Litman 93] Passoneau, R.J. & Litman, D.J. (1993). Intention-Based Segmentation: Human Reliability and Correlation with Linguistic Cues. Proceedings of the 31st Conference of the Association for Computational Linguistics, Columbus, 148-155.
- [Pierrehumbert & Hirschberg 87] Pierrehumbert, J. & Hirschberg, J. (1987). The meaning of intonational contours in the interpretation of discourse. AT&T Bell Laboratories Technical Report TM 11225-870325-07.

- [Polanyi 88] Polanyi, L. (1985). A formal Model of the Structure of Discourse. Journal of Pragmatics 12, 601-638.
- [Quirk & Greenbaum 73] Quirk, R. & Greenbaum, S. (1973). A Concise Grammar of Contemporary English. New York: Harcourt Brace Jovanovich Inc.
- [Rambow 90] Rambow, O. (1990). Domain Communication Knowledge. Proceedings of the 5th International Workshop on Text Generation, Pittsburgh, 98-107.
- [Rankin 89] Rankin, I. (1989). The Deep Generation of Text in Expert Critiquing Systems. Unpublished licentiate thesis, University of Linköping, Sweden.
- [Redeker 91] Redeker, G. (1993). Linguistic Markers of Discourse Structure. Linguistics 29, 1139–1172.
- [Redeker 93] Redeker, G. (1993). The Parallel Components Model of Discourse Coherence. MS in prep.
- [Reichman 78] Reichman, R. (1978). Conversational Coherency. Cognitive Science 2 283-327.
- [Rumelhart 72] Rumelhart, D.E. (1972). Notes on a Schema for Stories. In D.G. Bobrow & A. Collins (Eds.), Representation and Understanding. New York: Academic Press, 155-175.
- [Sanders et al. 92] Sanders, T.J.M., Spooren, W.P.M.S., & Noordman, L.G.M. (1992). Towards a Taxonomy of Coherence Relations. *Discourse Processes* 15 (1), 1–36.
- [Schank & Abelson 77] Schank, R.C. & Abelson, R. (1977). Scripts, Plans, Goals, and Understanding. Hillsdale: Lawrence Erlbaum Associates.
- [Shepherd 26] Shepherd, H.R. (1926). The Fine Art of Writing. New York: The Macmillan Co.
- [Shieber 84] Shieber, S. (1984). Direct Parsing of ID/LP Grammars. Linguistics and Philosophy 7, 135-154.
- [Simonin 88] Simonin, N. (1988). An Approach for Creating Structured Text. In M. Zock & G. Sabah (Eds.), Advances in Natural Language Generation vol. 1. London: Pinter Publishers, 146-160.
- [Sycara 87] Sycara, K. (1987). Resolving Adversarial Conflicts: An Approach Integrating Case-Based and Analytical Methods. Unpublished doctoral dissertation, Georgia Institute of Technology.
- [Toulmin 58] Toulmin, S. (1958). The Uses of Argument. Cambridge: Cambridge University Press.
- [Trabasso et al. 85] Trabasso, T. & Sperry, L.L. (1985). Causal Relatedness and Importance of Story Events. Journal of Memory and Language 24 (2), 595-611.
- [Tucker et al. 86] Tucker, A.B., Nirenburg, S., & Raskin, V. (1986). Discourse and Cohesion in Expository Text. Proceedings of Coling-86, 181-183.
- [Wu & Lytinen 90] Wu, H.J.P. & Lytinen, S.L. (1990). Coherence Relation Reasoning in Persuasive Discourse. Proceedings of the 12th Conference of the Cognitive Science Society, Cambridge, 503-510.